# EGGS IN PG(4n - 1, q), q EVEN, CONTAINING A PSEUDO-CONIC

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#### ABSTRACT

An ovoid of PG(3,q) can be defined as a set of  $q^2+1$  points with the property that every three points span a plane and at every point there is a unique tangent plane. In 2000 M. R. Brown ([7]) proved that if an ovoid of PG(3,q), q even, contains a conic, then the ovoid is an elliptic quadric. Generalising the definition of an ovoid to a set of (n-1)-spaces of PG(4n-1,q) J. A. Thas [21] introduced the notion of pseudo-ovoids or eggs: a set of  $q^{2n}+1$  (n-1)-spaces in PG(4n-1,q), with the property that any three egg elements span a (3n-1)-space and at every egg element there is a unique tangent (3n-1)-space. We prove that an egg in PG(4n-1,q), q even, contains a pseudo-conic, that is, a pseudo-oval arising from a conic of  $PG(2,q^n)$ , if and only if the egg is classical, that is, arising from an elliptic quadric in  $PG(3,q^n)$ .

## 1. Introduction and preliminaries

An oval of PG(2,q) is a set of q+1 points no three collinear. In 1954 it was shown by B. Segre [20] that if q is odd then an oval in PG(2,q) is a conic. For q even, many ovals are known which are not conics (see [6] for a recent survey). An ovoid of PG(3,q) is a set of  $q^2+1$  points such that every three points span a plane. If we exclude PG(3,2), that is, assuming q>2, then  $q^2+1$  is the maximal cardinality of a set of points satisfying this property. Moreover all the tangent lines to an ovoid at a certain point lie in a plane ([2], [17]); the tangent plane at that point. In 1955 A. Barlotti [2] and G. Panella [17] independently proved that an ovoid in PG(3,q), q odd, is an elliptic quadric. For q even, one other example of an ovoid is known; called the Tits ovoid, which exists for  $q=2^{2e+1}$ ,  $e\geq 1$ . For results characterising the elliptic quadric and the Tits ovoid we refer to the survey [6]. A result fundamental to the proof of the main result of this paper is the following characterisation of the elliptic quadric ovoid.

THEOREM 1 (M. R. Brown [7]). Let  $\mathcal{O}$  be an ovoid of PG(3,q), q even, and  $\pi$  a plane of PG(3,q) such that  $\pi \cap \mathcal{O}$  is a conic. Then  $\mathcal{O}$  is an elliptic quadric.

An (n-1)-spread (partial (n-1)-spread)  $\mathcal{S}$  of  $\operatorname{PG}(rn-1,q)$  is a set of (n-1)-spaces such that any point of  $\operatorname{PG}(rn-1,q)$  is contained in exactly (at most) one element of  $\mathcal{S}$  (also called a *spread* if the dimension of the elements of  $\mathcal{S}$  is understood). A spread  $\mathcal{S}$  is called *Desarguesian* if the incidence geometry defined by taking the elements of  $\mathcal{S}$  as points, the subspaces spanned by two different elements of  $\mathcal{S}$  as

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lines, and the natural incidence relation (symmetric containment), is isomorphic to a Desarguesian projective space.

An egg  $\mathcal{E}$  in PG(4n-1,q) (or pseudo-ovoid) is a partial (n-1)-spread of size  $q^{2n} + 1$ , such that every three egg elements span a (3n - 1)-space and for every egg element E there exists a (3n-1)-space  $T_E$  (called the tangent space of  $\mathcal E$  at E) which contains E and is skew from the other egg elements. A pseudo-oval (or an egg in PG(3n-1,q)) is a partial (n-1)-spread of size  $q^n+1$ , such that every three elements of the pseudo-oval span PG(3n-1,q). The notion of eggs was introduced by J. A. Thas in 1971 ([21]). An egg  $\mathcal{E}$  in PG(4n-1,q) is called a good egg if there is exists an egg element E such that every (3n-1)-space containing E and two other egg elements contains exactly  $q^n + 1$  egg elements. In that case E is called a good element of  $\mathcal{E}$ . If the elements of a pseudo-ovoid, respectively pseudo-oval, belong to a Desarguesian (n-1)-spread of PG(4n-1,q), respectively PG(3n-1,q), then the pseudo-ovoid, respectively pseudo-oval, is called *elementary*. It follows that an elementary pseudo-oval arises from an oval of  $PG(2, q^n)$  and an elementary pseudo-ovoid arises from an ovoid of  $PG(3,q^n)$ . If the oval is a conic we say that the elementary pseudo-oval is a pseudo-conic or a classical pseudo-oval, if the ovoid is an elliptic quadric then we call the pseudo-ovoid a classical pseudoovoid. In 1974 J. A. Thas proved that if every four egg elements span PG(4n-1,q)or are contained in a (3n-1)-dimensional space, then the egg is elementary ([22]).

The only known examples of pseudo-ovals are elementary and pseudo-ovals have been classified by computer for  $q^n \leq 16$  ([19]). More examples are known for pseudo-ovoids, all of them over a field of odd characteristic and they are connected to certain semifields (see Chapter 3 of [12] for a survey and [13] for recent results for the case when q is odd).

In this article we are concerned about pseudo-ovoids in the case when q is even. All known examples of eggs in  $\operatorname{PG}(4n-1,q)$ , q even, are elementary. Pseudo-ovoids have been classified by computer for  $q^n \leq 4$  ([14]). In 2002 J. A. Thas published the following two theorems.

Theorem 2 (J. A. Thas [25]). An egg  $\mathcal{E}$  of PG(4n-1,q), with q even, is classical if and only if  $\mathcal{E}$  is good at some element and contains at least one pseudoconic.

THEOREM 3 (J. A. Thas [25]). An egg  $\mathcal{E}$  of PG(4n-1,q), with q even, is classical if and only if  $\mathcal{E}$  contains at least two intersecting pseudo-conics.

In this article we prove that the only assumption one needs to conclude that an egg in PG(4n-1,q), q even, is classical, is that it contains a pseudo-conic.

## 2. Eggs and translation generalized quadrangles

A (finite) generalized quadrangle (GQ) (see [18] for a comprehensive introduction) is an incidence structure  $\mathcal{S} = (\mathcal{P}, \mathcal{B}, I)$  in which  $\mathcal{P}$  and  $\mathcal{B}$  are disjoint (non-empty) sets of objects called *points* and *lines*, respectively, and for which  $I \subseteq (\mathcal{P} \times \mathcal{B}) \cup (\mathcal{B} \times \mathcal{P})$ 

is a symmetric point-line incidence relation satisfying the following axioms:

- (i) Each point is incident with 1 + t lines  $(t \ge 1)$  and two distinct points are incident with at most one line;
- (ii) Each line is incident with 1 + s points  $(s \ge 1)$  and two distinct lines are incident with at most one point;
- (iii) If X is a point and  $\ell$  is a line not incident with X, then there is a unique pair  $(Y, m) \in \mathcal{P} \times \mathcal{B}$  for which X I m I Y I  $\ell$ .

The integers s and t are the parameters of the GQ and S is said to have order (s,t). If s=t, then S is said to have order s. If S has order (s,t), then it follows that  $|\mathcal{P}|=(s+1)(st+1)$  and  $|\mathcal{B}|=(t+1)(st+1)$  ([18, 1.2.1]). A subquadrangle  $S'=(\mathcal{P}',\mathcal{B}',I')$  of S is a GQ such that  $\mathcal{P}'\subseteq\mathcal{P}$ ,  $\mathcal{B}'\subseteq\mathcal{B}$  and I' is the restriction of I to  $(\mathcal{P}'\times\mathcal{B}')\cup(\mathcal{B}'\times\mathcal{P}')$ . Let  $S=(\mathcal{P},\mathcal{B},I)$  be a GQ of order  $(s,t), s\neq 1, t\neq 1$ . A collineation  $\theta$  of S is an elation about the point P if  $\theta=id$  or if  $\theta$  fixes all lines incident with P and fixes no point of  $\mathcal{P}\setminus P^\perp$ . If there is a group G of elations about P acting regularly on  $\mathcal{P}\setminus P^\perp$ , then we say that S is an elation generalized quadrangle (EGQ) with elation group G and base point G. Briefly we say that G or  $G^{(P)}$  or  $G^{(P)}$  is an EGQ. If the group G is abelian, then we say that the EGQ  $G^{(P)}$  is a translation generalized quadrangle (TGQ) and G is the translation group.

In  $\operatorname{PG}(2n+m-1,q)$  consider a set  $\mathcal{E}(n,m,q)$  of  $q^m+1$  (n-1)-dimensional subspaces, every three of which generate a  $\operatorname{PG}(3n-1,q)$  and such that each element E of  $\mathcal{E}(n,m,q)$  is contained in an (n+m-1)-dimensional subspace  $T_E$  having no point in common with any element of  $\mathcal{E}(n,m,q)\setminus\{E\}$ . It is easy to check that  $T_E$  is uniquely determined for any element E of  $\mathcal{E}(n,m,q)$ . The space  $T_E$  is called the tangent space of  $\mathcal{E}(n,m,q)$  at E. For n=m=1 such a set  $\mathcal{E}(1,1,q)$  is an oval in  $\operatorname{PG}(2,q)$  and more generally for n=m such a set  $\mathcal{E}(n,n,q)$  is a pseudo-oval of  $\operatorname{PG}(3n-1,q)$ . For m=2n=2 such a set  $\mathcal{E}(1,2,q)$  is an ovoid of  $\operatorname{PG}(3,q)$  and more generally for m=2n such a set  $\mathcal{E}(n,2n,q)$  is a pseudo-ovoid. In general we call the sets  $\mathcal{E}(n,m,q)$  eggs.

Now embed PG(2n + m - 1, q) in a PG(2n + m, q), and construct a point-line geometry T(n, m, q) as follows. Points are of three types:

- (i) the points of  $PG(2n+m,q) \setminus PG(2n+m-1,q)$ , called the affine points;
- (ii) the (n+m)-dimensional subspaces of PG(2n+m,q) which intersect PG(2n+m-1,q) in a tangent space of  $\mathcal{E}(n,m,q)$ ;
- (iii) the symbol  $(\infty)$ .

Lines are of two types:

- (a) the *n*-dimensional subspaces of PG(2n + m, q) which intersect PG(2n + m 1, q) in an element of  $\mathcal{E}(n, m, q)$ ;
- (b) the elements of  $\mathcal{E}(n, m, q)$ .

Incidence in T(n, m, q) is defined as follows. A point of type (i) is incident only with lines of type (a); here the incidence is that of PG(2n + m, q). A point of type (ii)

is incident with all lines of type (a) contained in it and with the unique element of  $\mathcal{E}(n,m,q)$  contained in it. The point  $(\infty)$  is incident with no line of type (a) and with all lines of type (b).

THEOREM 4 (8.7.1 of Payne and Thas [18]). The incidence geometry T(n, m, q) is a TGQ of order  $(q^n, q^m)$  with base point  $(\infty)$ . Conversely, every TGQ is isomorphic to a T(n, m, q). It follows that the theory of TGQ is equivalent to the theory of the sets  $\mathcal{E}(n, m, q)$ .

In the case where n=m=1 and  $\mathcal{E}(1,1,q)$  is the oval  $\mathcal{O}$  the GQ T(1,1,q) is the Tits GQ  $T_2(\mathcal{O})$ . When m=2n=2 and  $\mathcal{E}(1,2,q)$  is the ovoid  $\Omega$ , the GQ T(1,2,q) is the Tits GQ  $T_3(\Omega)$ . Note that  $T_2(\mathcal{O})\cong Q(4,q)$ , if and only if  $\mathcal{O}$  is a conic and non-classical otherwise, while  $T_3(\Omega)\cong Q(5,q)$  if and only if  $\Omega$  is an elliptic quadric (see [18, Chapter 3]). The kernel of  $\mathcal{S}=T(n,m,q)$  is the maximum cardinality field  $\mathrm{GF}(q')$  for which there exists an O(n',m',q') representing  $\mathcal{S}$  and  $\mathcal{S}$  may be represented by an  $\mathcal{E}(n'',m'',q'')$  if and only if  $\mathrm{GF}(q'')\subseteq\mathrm{GF}(q)$  (see [18, Chapter 8]). Let  $\mathcal{E}$  be an egg in  $\mathrm{PG}(4n-1,q)$  and  $T(\mathcal{E})$  the corresponding TGQ. If  $\mathcal{O}$  is a pseudo-oval of  $\mathcal{E}$  contained in  $\mathrm{PG}(3n-1,q)$  and  $\mathrm{PG}(3n,q)$  any subspace containing  $\mathrm{PG}(3n-1,q)$  not contained in  $\mathrm{PG}(4n-1,q)$ , then  $\mathrm{PG}(3n,q)$  induces a subquadrangle of  $T(\mathcal{E})$  isomorphic to  $T(\mathcal{O})$ .

## 3. Eggs in PG(4n-1,q), q even, containing a pseudo-conic

In this section we characterise the classical  $GQ\ Q(5,q)$  as a TGQ with a single classical subquadrangle on the translation point. As a corollary we have the analogue of Theorem 1 for eggs.

We begin with a statement and sketch proof of an important lemma. The proof is a combination of results of [10], [23], [11], [24] and [15], and already noted in [25].

LEMMA 5. Every (2n-1)-dimensional space in PG(3n-1,q), q even, skew from a pseudo-conic is the span of two elements of the Desarguesian spread induced by the pseudo-conic.

Proof. Let U be a (2n-1)-space skew from a pseudo-conic in  $\operatorname{PG}(3n-1,q)$ . Dualising in  $\operatorname{PG}(3n-1,q)$  we obtain an (n-1)-space U' disjoint from a dual pseudo-conic, i.e. the set of  $q^n+1$  (2n-1)-spaces corresponding to the  $q^n+1$  lines of a dual conic in  $\operatorname{PG}(2,q^n)$ . By embedding  $\operatorname{PG}(2,q^n)$  in  $\operatorname{PG}(3,q^n)$  and dualising in  $\operatorname{PG}(3,q^n)$  one sees that the set of affine points of any n-space intersecting  $\operatorname{PG}(2,q^n)$  in U' becomes a set of planes forming a semifield flock of a quadratic cone in  $\operatorname{PG}(3,q^n)$  and since q is even the corresponding semifield is a field, which implies that U corresponds to a line in  $\operatorname{PG}(2,q^n)$ .

Lemma 6. Let S be a TGQ of order  $(s, s^2)$  with a translation point  $(\infty)$  and a subquadrangle  $S' = (\mathcal{P}', \mathcal{B}', I')$  of order s containing the point  $(\infty)$ . Then the egg corresponding to S contains a pseudo-oval  $\mathcal{O}$  and S' is a TGQ isomorphic to  $T(\mathcal{O})$ .

*Proof.* Suppose that the kernel of S contains GF(q) and  $s=q^n$ . Then let E be the corresponding egg in PG(4n-1,q) and represent S as T(E). The  $q^n+1$  lines of S' incident with the point  $(\infty)$  determine a set  $\mathcal{O}$  of  $q^n+1$  egg elements

 $\{E_0, E_1, \ldots, E_{q^n}\}$ . Let  $\mathcal{A}$  denote the set of affine points of  $\mathcal{S}'$ . Let  $Q \in \mathcal{A}$  and consider the line  $\langle E_0, Q \rangle$  in  $\mathcal{S}'$ . It follows that every affine point of  $\langle E_0, Q \rangle$  is contained in  $\mathcal{A}$ . Let P be an affine point in  $\langle E_0, Q, E_1 \rangle \setminus \langle E_0, Q \rangle$ . Then  $\langle E_1, P \rangle$  intersects  $\langle E_0, Q \rangle$  in an affine point  $R \in \mathcal{A}$ , and hence  $P \in \mathcal{A}$ . Hence all affine points of  $\langle E_0, Q, E_1 \rangle$  are contained in  $\mathcal{A}$ . Now consider any affine point P in  $\langle E_0, E_1, E_2, Q \rangle \setminus \langle E_0, Q, E_1 \rangle$ . Then  $\langle E_2, P \rangle$  intersects  $\langle E_0, E_1, Q \rangle$  in a point  $R \in \mathcal{A}$ . It follows that  $\mathcal{A}$  is the set of affine points of  $\langle E_0, E_1, E_2, Q \rangle$  and  $\mathcal{O}$  is contained in  $\langle E_0, E_1, E_2 \rangle$ . This implies that  $\mathcal{O}$  is a pseudo-oval contained in  $\mathcal{E}$  and  $\mathcal{S}'$  is a TGQ isomorphic to  $T(\mathcal{O})$ .  $\square$ 

Theorem 7. Let  $S = (\mathcal{P}, \mathcal{B}, I)$  be a TGQ of order  $(s, s^2)$ , s even, with a translation point  $(\infty)$  and a subquadrangle  $S' = (\mathcal{P}', \mathcal{B}', I')$  isomorphic to Q(4, s) containing  $(\infty)$ . Then  $S \cong Q(5, s)$ .

*Proof.* Suppose that the kernel of  $\mathcal{S}$  contains  $\mathrm{GF}(q)$  and  $s=q^n$ . Then let  $\mathcal{E}$  be the corresponding egg in  $\mathrm{PG}(4n-1,q)$  and represent  $\mathcal{S}$  as  $T(\mathcal{E})$ . Now  $\mathcal{S}'$  is a (classical) subquadrangle of order  $q^n$  containing  $(\infty)$ . By Lemma 6  $\mathcal{E}$  contains a pseudo-conic in  $\mathrm{PG}(3n-1,q)$  and  $\mathcal{S}'$  is constructed from a  $\mathrm{PG}(3n,q)$  containing  $\mathrm{PG}(3n-1,q)$ .

If X is a point of  $\mathcal{P} \setminus \mathcal{P}'$ , then the lines incident with X intersect  $\mathcal{S}'$  in a set  $\mathcal{O}_X$  of  $q^{2n} + 1$  points of  $\mathcal{S}'$ , no two collinear, called an *ovoid* of  $\mathcal{S}'$  ([18, 2.2.1]). The ovoid  $\mathcal{O}_X$  is said to be subtended by X. Suppose that X is a point of type (ii) of S, that is a subspace of dimension 3n meeting PG(4n-1,q) in the tangent space at an egg element. Then  $\mathcal{O}_X$  consists of the point  $(\infty)$  plus the  $q^{2n}$  points  $(X \cap \mathrm{PG}(3n,q)) \setminus$ PG(3n-1,q). The subspace  $X \cap PG(3n-1,q)$  is a (2n-1)-dimensional subspace skew from the pseudo-conic  $\mathcal{C}$ . From Lemma 5 we have that this is the span of two elements of the Desarguesian spread induced by the pseudo-conic. Representing  $\mathcal{S}'$ over  $GF(q^n)$ , that is, as  $T_2(C)$  where C is a conic in  $PG(2,q^n)$ , we see that  $\mathcal{O}_X$ consists of  $(\infty)$  and the affine points of a plane of  $PG(3,q^n)$  skew from C. By the isomorphism from  $Q(4,q^n)$  to  $T_2(\mathcal{C})$  ([18]) it is clear that the ovoids of  $T_2(\mathcal{C})$ consisting of  $(\infty)$  and the affine points of a plane skew to  $\mathcal C$  correspond to the elliptic quadric ovoids of  $Q(4, q^n)$  containing a fixed point. By a result of Bose and Shrikhande ([4]) any triad of S has  $q^n + 1$  centres and so a subtended ovoid of S' may be subtended by at most two points of  $S \setminus S'$ , in which case the ovoid is said to be doubly subtended. Counting reveals that there are  $q^{2n}(q^n-1)/2$  elliptic quadric ovoids of S' containing  $(\infty)$  and  $q^{2n}(q^n-1)$  points of  $\mathcal{P} \setminus \mathcal{P}'$  collinear with  $(\infty)$  and hence subtending an ovoid of  $\mathcal{S}'$  containing  $(\infty)$ . Thus each such ovoid is doubly subtended.

Now let Y be a point of  $\mathcal{P} \setminus \mathcal{P}'$  not collinear with  $(\infty)$  and  $\mathcal{O}_Y$  the ovoid it subtends in  $\mathcal{S}'$ . We will consider this ovoid in the  $T_2(C)$  model of  $\mathcal{S}'$ . Since  $Y \not\sim (\infty)$  it follows that  $\mathcal{O}_Y = \mathcal{A} \cup \{\pi_P : P \in C\}$ , where  $\mathcal{A}$  is a set of  $q^{2n} - q^n$  affine points of  $T_2(C)$  and  $\pi_P$  is a point of type (ii) of  $T_2(C)$  which is a plane containing  $P \in C$ . We now investigate the intersections of a plane  $\pi$  of  $PG(3, q^n)$  with  $\mathcal{A}$ . If  $\pi$  contains no point of C, then  $\pi \cup (\infty)$  is an elliptic quadric subtended by two points, X and X' of  $S \setminus S'$ . If Y is collinear with X or X', then  $\pi \cap \mathcal{A}$  is a single point. If Y is not collinear with X nor with X', then  $\{X, X', Y\}$  is a triad of S and hence has  $q^n + 1$  centres. Hence  $|\pi \cap \mathcal{A}| = q^n + 1$ . Next suppose that  $\pi$  contains a unique point P of C. If  $\pi = \pi_P \subset \mathcal{O}_Y$ , then  $\pi$  contains no point of A. If  $\pi \neq \pi_P$ , then the  $q^n$  lines of  $\pi$  incident with P and not in the plane of C are lines of the  $T_2(C)$  and so contain precisely one point of A. Hence  $|\pi \cap \mathcal{A}| = q^n$ . Next suppose that  $\pi$  contains

two points, P and Q, of C. Of the  $q^n + 1$  projective lines in  $\pi$  incident with P one is contained in  $PG(2, q^n)$ , one is contained in  $\pi_P$  and  $q^n - 1$  are lines of  $T_2(C)$  containing a unique point of A. Hence  $|\pi \cap A| = q^n - 1$ . Finally, if  $\pi = PG(2, q^n)$ , then  $\pi$  contains no point of A.

Consider the set of points of  $PG(3, q^n)$  defined by  $\overline{\mathcal{O}_Y} = \mathcal{A} \cup C$ . By the above the plane intersections with  $\overline{\mathcal{O}_Y}$  have size 1 or  $q^n + 1$  and a straightforward count shows that  $\overline{\mathcal{O}_Y}$  is an ovoid of  $PG(3, q^n)$ . Further, since  $\overline{\mathcal{O}_Y}$  contains the conic C it is an elliptic quadric by Theorem 1. Hence the ovoid  $\mathcal{O}_Y$  is an elliptic quadric ovoid of  $\mathcal{S}'$  in the  $Q(4, q^n)$  model. Thus we have that every ovoid of  $\mathcal{S}' \cong Q(4, q^n)$  subtended by a point of  $\mathcal{P} \setminus \mathcal{P}'$  is an elliptic quadric ovoid. By a theorem due independently to Brown ([8]) and Brouns, Thas and Van Maldeghem ([5]) it now follows that  $\mathcal{S}$  is the classical  $GQ(Q(5, q^n))$ .

REMARK 1. In general, suppose that S is a TGQ of order  $(s, s^2)$ , s even, represented by an egg  $\mathcal{E}$  in PG(4n-1,q). Suppose that S' is a subquadrangle of S of order s, containing the base point  $(\infty)$  of S. Then the argument at the start of the proof of Theorem 7 proves that S' is isomorphic to  $T(\mathcal{O})$  for  $\mathcal{O}$  a pseudo-oval contained in  $\mathcal{E}$ . This solves an open case in [9].

As a corollary we now have the main result of the paper.

THEOREM 8. An egg  $\mathcal{E}$  in PG(4n-1,q), q even, contains a pseudo-conic if and only if the egg is classical, that is arising from an elliptic quadric in  $PG(3,q^n)$ .

*Proof.* Since an elliptic quadric contains conics, any egg arising from an elliptic quadric contains pseudo-conics. Now suppose  $\mathcal{E}$  is an egg of  $\operatorname{PG}(4n-1,q)$  containing a pseudo-conic. Then  $T(\mathcal{E})$  is a TGQ of order  $(q^n,q^{2n})$  containing a classical subquadrangle of order  $q^n$  containing  $(\infty)$ . By Theorem 7  $T(\mathcal{E})$  is the classical GQ  $Q(5,q^n)$  and so by [1, Lemma 1]  $\mathcal{E}$  arises from an elliptic quadric in  $\operatorname{PG}(3,q^n)$ .  $\square$ 

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