## The Density of Optimal Error-Correcting Codes in Various Metric Spaces

#### Anna-Lena Horlemann

University of St.Gallen, Switzerland

Finite Geometry - Sixth Irsee Conference August 31st 2022



Joint work with Anina Gruica, Alberto Ravagnani and Nadja Willenborg.



- 2 Bounds on Densities in  $\mathbb{F}_{q^m}^n$
- **3** Asymptotic Densities in  $\mathbb{F}_{q^m}^n$
- 4 Densities of Optimal Codes in  $\mathbb{F}_{q^m}^n$
- **5** Subspace Codes in  $\mathcal{G}_q(k, n)$
- 6 Summary and Conclusions

Interest in Random Codes (Hamming Metric)

- For fixed rate and growing length a random code (linear or non-linear) gets arbitrarily close to channel capacity for BSC and BEC (Shannon '48, Elias '55).
- Analogous result for list-decoding capacity for BSC (Guruswami-Haastad-Kapparty '10)
- For fixed length/rate, but growing field size, a random linear code is MDS (folklore).

Interest in Random Codes (Hamming Metric)

- For fixed rate and growing length a random code (linear or non-linear) gets arbitrarily close to channel capacity for BSC and BEC (Shannon '48, Elias '55).
- Analogous result for list-decoding capacity for BSC (Guruswami-Haastad-Kapparty '10)
- For fixed length/rate, but growing field size, a random linear code is MDS (folklore).
- For LRCs the locality is not a generic property, but the optimality w.r.t. Hamming distance is. (Neri-H. '19)
- In code-based cryptography we need to estimate the minimum distance of a random linear code.
  - $\rightarrow$  Random linear codes achieve Gilbert-Varshamov bound w.h.p.

## Interest in Random Codes (Other Metrics)

- There exist many non-Gabidulin MRD codes, for growing field extension degree. (Neri-H.-Randrianarisoa-Rosenthal '17)
- Nonlinear and  $\mathbb{F}_q$ -linear MRD codes are sparse for growing field size. (Gluesing-Luerssen-Byrne, Gruica-Ravagnani '20-'22)
- Random linear codes in Lee and restricted error metric achieve GV bound for growing field size. (Weger-Battaglioni-Santini-H.-Persichetti '21)
- Random linear sum-rank-metric codes are MSRD w.r.t. field extension degree. (Ott-Puchinger-Bossert '21)
- Random good constant dimension codes (e.g. spreads) are sparse. (Gruica-Ravagnani '21)

### Our Results

- General bounds on density of (linear, sub-linear, non-linear) codes in translation-invariant metric vector spaces.
- Asymptotic behavior in all parameters (length, field size, linearity degree).
- GV-bound achievement in general.
- Singleton-type bound achievement in Hamming, rank, sum-rank, injection/subspace metric. (Some new, some re-established.)

## Relation to Finite Geometry I

Hamming metric:

$$d_H(u, v) := |\{i \mid u_i \neq v_i\}|$$

$$MDS \longleftrightarrow d_H(C) = n - \log_q(|C|) + 1$$

#### Theorem

- Linear MDS codes in  $\mathbb{F}_{q^m}^n$  correspond to n-arcs over  $\mathbb{F}_{q^m}$ .
- (Additive or) 𝔽<sub>q</sub>-linear MDS codes in 𝔽<sup>n</sup><sub>q<sup>m</sup></sub> correspond to n-arcs of (m − 1)-spaces.
- For linear codes the columns of the generator matrix form the *n*-arc.
- For additive codes we extend columns row-wise over  $\mathbb{F}_q$  and view them as (m-1)-spaces.

### Relation to Finite Geometry II

Rank metric on  $\mathbb{F}_{q^m}^n$ :

$$d_R(u,v) := \dim_q \langle u_1 - v_1, \dots, u_n - v_n \rangle$$

 $MRD \longleftrightarrow \log_q(|C|) = \max(m, n)(\min(m, n) - d_R(C) + 1)$ 

#### Theorem

MRD codes in  $\mathbb{F}_{q^n}^n$  of maximal rank distance d = n are spreadsets.

- MRD codes in  $\mathbb{F}_{q^n}^n$  (containing the zero and identity matrix) with minimum distance n correspond to finite quasifields Q with  $K \leq KerQ$  and  $\dim_q Q = n$ .
- Additive MRD codes in  $\mathbb{F}_{q^n}^n$  (containing the identity matrix) with minimum distance n correspond to finite semifields S with  $K \leq KerS$  and  $\dim_q S = n$ .
- $\mathbb{F}_q$ -linear MRD codes in  $\mathbb{F}_{q^n}^n$  (containing the identity matrix) with minimum distance n correspond to finite division algebras D over  $\mathbb{F}_q$  where  $\mathbb{F}_q \leq Z(D)$  and  $\dim_q D = n$ .

## Relation to Finite Geometry III

Subspace/injection metric on  $\mathcal{G}_q(k, n)$ :

$$d_S(U,V) := k - \dim(U \cap V)$$

#### Theorem

- A subspace code in  $C \subseteq \mathcal{G}_q(k, n)$  is a set of subspaces where the elements intersect pairwise in dimension at most  $k d_S(C)$ .
- If  $d_S(C) = k$  then these codes are spreads or partial spreads.

### 1 Introduction

- 2 Bounds on Densities in  $\mathbb{F}_{q^m}^n$
- (3) Asymptotic Densities in  $\mathbb{F}_{q^m}^n$ 
  - 4 Densities of Optimal Codes in  $\mathbb{F}_{q^m}^n$
- **5** Subspace Codes in  $\mathcal{G}_q(k, n)$
- 6 Summary and Conclusions

# Graph Theory Tools<sup>1</sup>

• Construct bipartite graph  $\mathcal{B} = (\mathcal{V}, \mathcal{W}, \mathcal{E})$ , where

$$\blacktriangleright \mathcal{V} = \Big\{ \{x, y\} \subseteq \mathbb{F}_q^n : x \neq y, \, D(x, y) \le d - 1 \Big\},\$$

- $\mathcal{W}$  is the collection of codes  $\mathcal{C} \subseteq \mathbb{F}_q^n$  with  $|\mathcal{C}| = S$ , and
- $(\{x, y\}, \mathcal{C}) \in \mathcal{E}$  if and only if  $\{x, y\} \subseteq \mathcal{C}$ .

<sup>&</sup>lt;sup>1</sup>From A. Gruica and A. Ravagnani, *Common complements of linear subspaces and the sparseness of MRD codes*, SIAM Journal on Applied Algebra and Geometry 6 (2022).

## Graph Theory Tools<sup>1</sup>

• Construct bipartite graph  $\mathcal{B} = (\mathcal{V}, \mathcal{W}, \mathcal{E})$ , where

$$\blacktriangleright \mathcal{V} = \Big\{ \{x, y\} \subseteq \mathbb{F}_q^n : x \neq y, \ D(x, y) \le d - 1 \Big\},\$$

- $\mathcal{W}$  is the collection of codes  $\mathcal{C} \subseteq \mathbb{F}_q^n$  with  $|\mathcal{C}| = S$ , and
- $(\{x, y\}, \mathcal{C}) \in \mathcal{E}$  if and only if  $\{x, y\} \subseteq \mathcal{C}$ .

$$|\mathcal{V}| = \frac{1}{2}q^n \left( \mathbf{v}_q^D(\mathbb{F}_q^n, d-1) - 1 \right), \quad |\mathcal{W}| = \begin{pmatrix} q^n \\ S \end{pmatrix},$$

$$|\{\mathcal{C} \in \mathcal{W} : (\{x, y\}, \mathcal{C}) \in \mathcal{E}\}| = \binom{q^n - 2}{S - 2}.$$

<sup>&</sup>lt;sup>1</sup>From A. Gruica and A. Ravagnani, *Common complements of linear subspaces and the sparseness of MRD codes*, SIAM Journal on Applied Algebra and Geometry 6 (2022).

# Graph Theory Tools<sup>1</sup>

• Construct bipartite graph  $\mathcal{B} = (\mathcal{V}, \mathcal{W}, \mathcal{E})$ , where

$$\blacktriangleright \mathcal{V} = \Big\{ \{x, y\} \subseteq \mathbb{F}_q^n : x \neq y, \ D(x, y) \le d - 1 \Big\},\$$

- $\mathcal{W}$  is the collection of codes  $\mathcal{C} \subseteq \mathbb{F}_q^n$  with  $|\mathcal{C}| = S$ , and
- $(\{x, y\}, \mathcal{C}) \in \mathcal{E}$  if and only if  $\{x, y\} \subseteq \mathcal{C}$ .

$$|\mathcal{V}| = \frac{1}{2}q^n \left(\mathbf{v}_q^D(\mathbb{F}_q^n, d-1) - 1\right), \quad |\mathcal{W}| = \binom{q^n}{S},$$

$$|\{\mathcal{C} \in \mathcal{W} : (\{x, y\}, \mathcal{C}) \in \mathcal{E}\}| = \binom{q^n - 2}{S - 2}.$$

Hence B is a left-regular graph of degree (<sup>q<sup>n</sup>-2</sup><sub>S-2</sub>). The isolated vertices are the codes of minimum distance d.
 → Bounds for number of such is known.

<sup>&</sup>lt;sup>1</sup>From A. Gruica and A. Ravagnani, *Common complements of linear subspaces and the sparseness of MRD codes*, SIAM Journal on Applied Algebra and Geometry 6 (2022).

### Density of Non-Linear Codes

Metric space  $(\mathbb{F}_q^n, D)$ , volume of ball  $\mathbf{v}_q^D(...)$ , density  $\delta_q^D(...)$ 

### Theorem

The density of codes in  $\mathbb{F}_q^n$  with minimum distance d among all codes of cardinality S is bounded by

$$1 - \frac{(\boldsymbol{v}_q^D(\mathbb{F}_q^n, d-1) - 1)S(S-1)}{2(q^n - 1)} \le \delta_q^D(\mathbb{F}_q^n, S, 0, d),$$

$$\delta^D_q(\mathbb{F}_q^n,S,0,d) \leq 1 - \frac{(\boldsymbol{v}^D_q(\mathbb{F}_q^n,d-1)-1)S(S-1)}{2\Theta(q^n-1)},$$

where

$$\Theta = 1 + \frac{(2v_q^D(\mathbb{F}_q^n, d-1) - 4)(q^n - 3) + (\frac{1}{2}q^n(v_q^D(\mathbb{F}_q^n, d-1) - 1) - 2v_q^D(\mathbb{F}_q^n, d-1) + 3)(S-3)}{(S-2)^{-1}(q^n - 2)(q^n - 3)} \cdot \frac{(S-2)^{-1}(q^n - 2)(q^n - 3)}{(S-2)^{-1}(q^n - 2)(q^n - 3)} \cdot \frac{(S-2)^{-1}(q^n - 3)(S-3)}{(S-2)^{-1}(q^n - 2)(q^n - 3)} \cdot \frac{(S-2)^{-1}(q^n - 3)(S-3)}{(S-2)^{-1}(q^n - 2)(q^n - 3)} \cdot \frac{(S-2)^{-1}(q^n - 3)(S-3)}{(S-2)^{-1}(q^n - 3)(S-3)} \cdot \frac{(S-2)^{-1}(q^n - 3)(S-3)}{(S-2)^{-1}(q^n - 3)(q^n - 3)} \cdot \frac{(S-2)^{-1}(q^n - 3)(S-3)}{(S-2)^{-1}(q^n - 3)} \cdot \frac{(S-2)^{-1}(q^n - 3)}{(S-2)^{-1}(q^n - 3)} \cdot \frac{(S-2)^{-1}(q^$$

# Density of (Sub-)Linear Codes

#### Theorem

The density of  $\mathbb{F}_{q^{\ell}}$ -linear codes in  $\mathbb{F}_{q^m}^n$   $(m = s\ell)$  of cardinality S with minimum distance d is bounded by

$$1 - \frac{\left(\boldsymbol{v}_{q}^{D}(\mathbb{F}_{q^{m}}^{n}, d-1) - 1\right) \begin{bmatrix} ns - 1\\ k - 1 \end{bmatrix}_{q^{\ell}}}{\left(q^{\ell} - 1\right) \begin{bmatrix} ns\\ k \end{bmatrix}_{q^{\ell}}} \leq \delta_{q}^{D}(\mathbb{F}_{q^{m}}^{n}, q^{\ell k}, \ell, d)$$

$$\delta_q^D(\mathbb{F}_{q^m}^n, q^{\ell k}, \ell, d) \le 1 - \frac{\left(\boldsymbol{v}_q^D(\mathbb{F}_{q^m}^n, d-1) - 1\right) \begin{bmatrix} ns - 1\\ k - 1 \end{bmatrix}_{q^\ell}}{\bar{\Theta}(q^\ell - 1) \begin{bmatrix} ns\\ k \end{bmatrix}_{q^\ell}},$$

where 
$$\bar{\Theta} = 1 + \begin{bmatrix} ns-1\\ k-1 \end{bmatrix}_{q^{\ell}}^{-1} \left( \frac{\boldsymbol{v}_{q}^{D}(\mathbb{F}_{q^{m}}^{n}, d-1) - 1}{q^{\ell} - 1} - 1 \right) \begin{bmatrix} ns-2\\ k-2 \end{bmatrix}_{q^{\ell}}.$$

### 1 Introduction

- 2 Bounds on Densities in  $\mathbb{F}_{q^m}^n$
- **3** Asymptotic Densities in  $\mathbb{F}_{q^m}^n$ 
  - Densities of Optimal Codes in  $\mathbb{F}_{q^m}^n$
- **5** Subspace Codes in  $\mathcal{G}_q(k, n)$
- 6 Summary and Conclusions

Asymptotic Density of Non-Linear Codes  $a_n \in o(f_n) \iff \lim a_n/f_n = 0$ ,  $a_n \in \omega(f_n) \iff \lim f_n/a_n = 0$ 

Theorem

$$\lim \delta_q^D(\mathbb{F}_q^n, S_q, 0, d) = \begin{cases} 1 & \text{if } \mathbf{v}_q^D(\mathbb{F}_q^n, d-1) \in o(q^n S_q^{-2}) \\ 0 & \text{if } \mathbf{v}_q^D(\mathbb{F}_q^n, d-1) \in \omega(q^n S_q^{-2}) \end{cases}$$

as q or  $n \to +\infty$ .

Asymptotic Density of Non-Linear Codes

 $a_n \in o(f_n) \iff \lim a_n/f_n = 0$ ,  $a_n \in \omega(f_n) \iff \lim f_n/a_n = 0$ 

Theorem

$$\lim \delta_q^D(\mathbb{F}_q^n, S_q, 0, d) = \begin{cases} 1 & \text{if } \mathbf{v}_q^D(\mathbb{F}_q^n, d-1) \in o(q^n S_q^{-2}) \\ 0 & \text{if } \mathbf{v}_q^D(\mathbb{F}_q^n, d-1) \in \omega(q^n S_q^{-2}) \end{cases}$$

as q or  $n \to +\infty$ .

GV-bound:

$$S_{[n,q,d]} \ge \frac{q^n}{\mathbf{v}_q^D(\mathbb{F}_q^n, d-1)}$$

#### Corollary

Non-linear codes achieving the Gilbert-Varshamov bound are asymptotically sparse with respect to q or n.

## Asymptotic Density of (Sub-)Linear Codes II

Remember:  $m = s\ell$ 

Theorem

$$\lim_{q \to +\infty} \delta^D_q(\mathbb{F}^n_{q^m}, q^{\ell k}, \ell, d) = \begin{cases} 1 & \text{if } \mathbf{v}^D_q(\mathbb{F}^n_{q^m}, d-1) \in o(q^{\ell(ns+1-k)}), \\ 0 & \text{if } \mathbf{v}^D_q(\mathbb{F}^n_{q^m}, d-1) \in \omega(q^{\ell(ns+1-k)}), \end{cases}$$
  
as  $q, n, s \text{ or } \ell \to +\infty.$ 

# Asymptotic Density of (Sub-)Linear Codes II

Remember:  $m = s\ell$ 

Theorem

$$\lim_{q \to +\infty} \delta^D_q(\mathbb{F}^n_{q^m}, q^{\ell k}, \ell, d) = \begin{cases} 1 & \text{if } \mathbf{v}^D_q(\mathbb{F}^n_{q^m}, d-1) \in o(q^{\ell(ns+1-k)}), \\ 0 & \text{if } \mathbf{v}^D_q(\mathbb{F}^n_{q^m}, d-1) \in \omega(q^{\ell(ns+1-k)}), \end{cases}$$
  
as  $q, n, s \text{ or } \ell \to +\infty.$ 

### Corollary

- (Sub-)linear codes achieving the Gilbert-Varshamov bound are asymptotically dense with respect to q or l.
- Provide a symptotic density of (sub-)linear codes achieving the Gilbert-Varshamov bound is upper bounded by q<sup>ℓ</sup>/(q<sup>ℓ</sup> + 1), with respect to n or s.

### 1 Introduction

- 2 Bounds on Densities in  $\mathbb{F}_{q^m}^n$
- (3) Asymptotic Densities in  $\mathbb{F}_{q^m}^n$
- 4 Densities of Optimal Codes in  $\mathbb{F}_{q^m}^n$
- **(5)** Subspace Codes in  $\mathcal{G}_q(k, n)$
- 6 Summary and Conclusions

### Hamming Metric

Singleton bound (MDS):

$$k \le n - d + 1$$

Volume of balls:

$$\mathbf{v}_{q}^{\mathrm{H}}(\mathbb{F}_{q^{m}}^{n},r) = \sum_{i=0}^{r} \binom{n}{i} (q^{m}-1)^{i} \sim \begin{cases} \binom{n}{r} q^{rm} & \text{as } q \to +\infty\\ \binom{n}{r} q^{rm} & \text{as } m \to +\infty\\ \binom{n}{r} (q^{m}-1)^{r} & \text{as } n \to +\infty \end{cases}$$

### Bounds for nonlinear codes and (q, n) = (2, 10), (2, 20), (3, 10), (5, 10)



### Bounds for sublinear codes and (q, m, n, l) = (2, 1, 15, 1), (2, 3, 15, 1)



### Hamming Metric Asymptotics

$$\mathbf{v}_{q}^{\mathrm{H}}(\mathbb{F}_{q^{m}}^{n},r) = \sum_{i=0}^{r} \binom{n}{i} (q^{m}-1)^{i} \sim \begin{cases} \binom{n}{r} q^{rm} & \text{as } q \to +\infty\\ \binom{n}{r} q^{rm} & \text{as } m \to +\infty\\ \binom{n}{r} (q^{m}-1)^{r} & \text{as } n \to +\infty \end{cases}$$

#### Theorem

• Non-linear MDS codes are sparse:

$$\lim_{q,n\to+\infty} \delta_q^H(\mathbb{F}_q^n, q^{n-d+1}, 0, d) = 0$$

• Dense sub-linear MDS codes:

$$\lim_{q,\ell \to +\infty} \delta_q^H(\mathbb{F}_{q^m}^n, q^{m(n-d+1)}, \ell, d) = 1$$

• Sparse sub-linear MDS codes  $(s = m/\ell)$ :

$$\lim_{n,s\to+\infty} \delta_q^H(\mathbb{F}_{q^m}^n, q^{m(n-d+1)}, \ell, d) = 0$$

15/35

#### Non- and sublinear MDS codes for q = 2, n = 15; and $m = \ell$





Quantum-MDS codes for  $m = 2, \ell = 1$  and n = 5, 15



 $\implies$  Existence of  $\mathbb{F}_q$ -linear MDS codes that are not  $\mathbb{F}_{q^2}$ -linear!

## Probability of Arcs

### Theorem

- The probability that n randomly chosen points in PG(k-1,q) form an n-arc goes to 1 for growing q.
- 2 The probability that n randomly chosen points in PG(k-1,q) form an n-arc goes to 0 for growing n.
- The probability that n randomly chosen (m − 1)-spaces in PG(mk − 1, q) form an n-arc of (m − 1)-spaces goes to 1 for growing q.
- The probability that n randomly chosen (m − 1)-spaces in PG(mk − 1, q) form an n-arc of (m − 1)-spaces goes to 0 for growing n or m.

### Rank Metric

Singleton bound (MRD):

$$k \le \max(m, n)(\min(m, n) - d + 1)$$

Volume of balls:

$$\mathbf{v}_{q}^{\mathrm{rk}}(\mathbb{F}_{q^{m}}^{n},r) = \sum_{i=0}^{r} \begin{bmatrix} n\\ i \end{bmatrix}_{q} \prod_{j=0}^{i-1} (q^{m}-q^{j}) \sim \begin{cases} q^{r(m+n-r)} & \text{as } q \to +\infty \\ \begin{bmatrix} n\\ r \end{bmatrix}_{q} q^{rm} & \text{as } m \to +\infty \\ \begin{bmatrix} m\\ r \end{bmatrix}_{q} q^{rn} & \text{as } n \to +\infty \end{cases}$$

### Bounds for nonlinear codes and (q, m, n) = (2, 4, 4), (2, 4, 10), (3, 4, 4), (3, 4, 10)



### Bounds for sublinear codes and (q, m, n, l) = (2, 4, 4, 1), (16, 4, 4, 1)



## Rank Metric Asymptotics

### Theorem

• Non-linear MRD codes are sparse:

$$\lim_{q,n,m\to+\infty} \delta_q^{\mathrm{rk}}(\mathbb{F}_{q^m}^n, q^{\max\{n,m\}(\min\{n,m\}-d+1)}, 0, d) = 0.$$

• Sub-linear (quasi-)MRD codes depend on the linearity degree:  $\ell s \ge n$ :

$$\lim_{q \to +\infty} \delta_q^{rk}(\mathbb{F}_{q^{\ell s}}^n, q^{\ell s(n-d+1)}, \ell, d) = \begin{cases} 1 & \text{if } \ell > (d-1)(n-d+1), \\ 0 & \text{if } \ell < (d-1)(n-d+1). \end{cases}$$

 $\ell s < n$ :

$$\lim_{q \to +\infty} \delta_q^{rk}(\mathbb{F}_{q^{\ell s}}^n, q^{\ell k}, \ell, d) = \begin{cases} 1 & \text{if } \ell > (d-1)(\ell s - d + 1) + r, \\ 0 & \text{if } \ell < (d-1)(\ell s - d + 1) + r. \end{cases}$$
  
where  $k := \lfloor n(\ell s - d + 1)/\ell \rfloor$  and  $r := n(d-1) - \ell \lceil n(d-1)/\ell \rceil.$ 

# Rank Metric Asymptotics II

### Theorem

• Density for growing linearity degree:

$$\lim_{\ell \to +\infty} \delta_q^{rk}(\mathbb{F}_{q^{\ell s}}^n, q^{\ell s(n-d+1)}, \ell, d) = 1,$$

• Bound for growing length:

$$\limsup_{n \to +\infty} \delta_q^{rk}(\mathbb{F}_{q^{\ell s}}^n, q^{\ell \lfloor n(\ell s - d + 1)/\ell \rfloor}, \ell, d) \le \frac{1}{1 + \begin{bmatrix} m \\ d - 1 \end{bmatrix}_q q^{-2\ell}} < 1,$$

• Bound for growing extension degree:

$$\limsup_{s \to +\infty} \delta_q^{rk}(\mathbb{F}_{q^{\ell s}}^n, q^{\ell s(n-d+1)}, \ell, d) \le \frac{q^\ell}{q^\ell + \begin{bmatrix} n \\ d-1 \end{bmatrix}_q} < 1.$$

#### Non- and sublinear MRD codes for q = 2, n = 4 and m = 4 (and n = 15)





# Probability of Spreadsets

### Theorem

- The probability that randomly chosen square matrices in  $\mathbb{F}_q^{n \times n}$ form a spreadset goes to 0 for growing growing q or n.
- The probability that the  $\mathbb{F}_q$ -linear span of randomly chosen square matrices in  $\mathbb{F}_q^{n \times n}$  form a spreadset goes to 0 for growing growing q or n.
- The probability that the 𝔽<sub>q<sup>m</sup></sub>-linear span of randomly chosen vectors in 𝔽<sup>n</sup><sub>q<sup>n</sup></sub> form a spreadset goes to 1 for growing growing q or n.

 $(\rightarrow$  connection to quasifields, semifields, division algebras)

Sum-Rank Metric Ambient space  $(n = t\eta)$ :

$$C \subseteq (\mathbb{F}_{q^m}^{\eta})^t$$

Singleton-type bound:

$$k \le \max\{m, \eta\}(t\min\{m, \eta\} - d + 1)$$

$$\mathbf{v}_q^{\mathrm{sr},\mathrm{t}}(\mathbb{F}_{q^m}^n,r) = \sum_{h=0}^r \sum_{u \in U_h} \prod_{i=1}^t \begin{bmatrix} \eta\\ u_i \end{bmatrix}_q \prod_{j=0}^{u_i-1} (q^m - q^j) \sim \binom{t}{\tilde{z}} q^{\frac{\tilde{z}^2}{t} - \tilde{z} + r(m + \eta - \frac{r}{t})}$$

as  $q \to +\infty$ , where  $\tilde{z} \equiv r \pmod{t}$ .

Sum-Rank Metric Ambient space  $(n = t\eta)$ :

$$C \subseteq (\mathbb{F}_{q^m}^\eta)^t$$

Singleton-type bound:

$$k \le \max\{m, \eta\}(t\min\{m, \eta\} - d + 1)$$

$$\mathbf{v}_q^{\mathrm{sr},\mathrm{t}}(\mathbb{F}_{q^m}^n,r) = \sum_{h=0}^r \sum_{u \in U_h} \prod_{i=1}^t \begin{bmatrix} \eta\\ u_i \end{bmatrix}_q \prod_{j=0}^{u_i-1} (q^m - q^j) \sim \binom{t}{\tilde{z}} q^{\frac{\tilde{z}^2}{t} - \tilde{z} + r(m + \eta - \frac{r}{t})}$$

as  $q \to +\infty$ , where  $\tilde{z} \equiv r \pmod{t}$ .

#### Theorem

Non-linear MSRD codes are sparse:

$$\lim_{q \to +\infty} \delta_q^{\operatorname{sr}, \mathfrak{t}}(\mathbb{F}_{q^m}^n, q^{\max\{m, \eta\}(t \min\{m, \eta\} - d + 1)}, 0, d) = 0$$

## Sum-Rank Metric II

### Theorem

Let 
$$\theta := (d-1)\left(\min\{m,\eta\} - \frac{d-1}{t}\right) + \frac{\tilde{z}^2}{t} - \tilde{z}.$$
  
• If  $m \ge \eta$ , then:

$$\lim_{q \to +\infty} \delta_q^{sr,t}(\mathbb{F}_{q^m}^n, q^{m(n-d+1)}, \ell, d) = \begin{cases} 1 & \text{if } \theta < \ell, \\ 0 & \text{if } \theta > \ell \end{cases}$$

• If  $\eta > m$ , then:

$$\lim_{q \to +\infty} \delta_q^{sr,t}(\mathbb{F}_{q^m}^n, q^{\ell k}, \ell, d) = \begin{cases} 1 & \text{if } \theta - r < \ell, \\ 0 & \text{if } \theta - r > \ell, \end{cases}$$
  
where  $k = \left\lfloor \frac{\eta(mt-d+1)}{\ell} \right\rfloor$  and  $r = \ell\left(\left\lceil \frac{\eta(d-1)}{\ell} \right\rceil - \frac{\eta(d-1)}{\ell}\right).$ 

## Sum-Rank Metric III

### Corollary

Let  $m \ge \eta$  and  $2 \le d \le n$  be integers. We have

$$\lim_{q \to +\infty} \delta_q^{sr,t}(\mathbb{F}_{q^m}^n, q^{m(n-d+1)}, 1, d) = \begin{cases} 1 & \text{if } \eta < \frac{2}{\sqrt{t}} \\ 0 & \text{if } \eta > \frac{(t+2)^2}{4t}. \end{cases}$$



### 1 Introduction

- 2 Bounds on Densities in  $\mathbb{F}_{q^m}^n$
- (3) Asymptotic Densities in  $\mathbb{F}_{q^m}^n$
- 4 Densities of Optimal Codes in  $\mathbb{F}_{q^m}^n$
- **5** Subspace Codes in  $\mathcal{G}_q(k, n)$
- 6 Summary and Conclusions

Density of Codes in the Grassmannian Metric space  $(\mathcal{G}_q(k, n), D)$ , volume of ball  $\mathbf{v}_q^D(...)$ , density  $\delta_q^D(...)$ 

#### Theorem

The density of codes in  $\mathcal{G}_q(k,n)$  with minimum distance d among all codes of cardinality S is bounded by

$$1 - \frac{(\boldsymbol{v}_q^D(\mathcal{G}_q(k,n), d-1) - 1)S(S-1)}{2(\begin{bmatrix} n\\k \end{bmatrix}_q - 1)} \le \delta_q^D(\mathcal{G}_q(k,n), S, d),$$

$$\delta_q^D(\mathcal{G}_q(k,n), S, d) \le 1 - \frac{(\boldsymbol{v}_q^D(\mathcal{G}_q(k,n), d-1) - 1)S(S-1)}{2\Theta(\begin{bmatrix} n\\k \end{bmatrix}_q - 1)},$$

$$\Theta = 1 + 2 \frac{(\mathbf{v}_q^D(d-1)-2)(S-2)}{bin(n,k,q)-2} + \frac{(\frac{1}{2}bin(n,k,q)(\mathbf{v}_q^D(d-1)-1)-2\mathbf{v}_q^D(d-1)+3)(S-2)(S-3)}{(bin(n,k,q)-2)(bin(n,k,q)-3)}.$$

# Asymptotic Density

### Theorem

$$\lim_{q,n\to\infty} \delta_q^D(\mathcal{G}_q(k,n), S_q, d) = \begin{cases} 1 & \text{if } \mathbf{v}_q^D(\mathcal{G}_q(k,n), d-1) \in o(\begin{bmatrix} n\\k \end{bmatrix}_q S_q^{-2}) \\ 0 & \text{if } \mathbf{v}_q^D(\mathcal{G}_q(k,n), d-1) \in \omega(\begin{bmatrix} n\\k \end{bmatrix}_q S_q^{-2}) \end{cases}$$

## Asymptotic Density

#### Theorem

$$\lim_{q,n\to\infty} \delta_q^D(\mathcal{G}_q(k,n), S_q, d) = \begin{cases} 1 & \text{if } \mathbf{v}_q^D(\mathcal{G}_q(k,n), d-1) \in o(\begin{bmatrix} n\\k \end{bmatrix}_q S_q^{-2}) \\ 0 & \text{if } \mathbf{v}_q^D(\mathcal{G}_q(k,n), d-1) \in \omega(\begin{bmatrix} n\\k \end{bmatrix}_q S_q^{-2}) \end{cases}$$

GV-bound:

$$S_{[n,k,q,d]} \geq \frac{ \begin{bmatrix} n \\ k \end{bmatrix}_q }{ \mathbf{v}_q^D(\mathcal{G}_q(k,n),d-1) }$$

### Corollary

Non-linear codes achieving the Gilbert-Varshamov bound are asymptotically sparse with respect to q or n.

### Codes with the Subspace/Injection Distance<sup>2</sup>

$$d_I(U,V) := k - \dim(U \cap V)$$

$$|\mathbf{v}_q^I(\mathcal{G}_q(k,n),d)| = \sum_{i=0}^d q^{i^2} \begin{bmatrix} k\\ i \end{bmatrix}_q \begin{bmatrix} n-k\\ i \end{bmatrix}_q$$

Singleton-type bound:

$$|C_{[n,k,d]}| \le {n-d+1 \brack \max(k,n-k)}_q$$

#### Theorem

$$\lim_{q,n\to\infty} \delta_q^D(\mathcal{G}_q(k,n), \begin{bmatrix} n-d+1\\ \max(k,n-k) \end{bmatrix}_q, d) = 0$$

 $(\rightarrow$  GV-bound is lower than Singleton-type bound)

<sup>2</sup>A. Gruica and A. Ravagnani, The Typical Non-Linear Code over Large Alphabets, IEEE Information Theory Workshop (ITW), 2021. Bounds for (q, k, n) = (2, 4, 8), (2, 4, 10)



### Geometric Interpretation

$$d_I(U,V) < d \iff \dim(U \cap V) > k - d$$

#### Theorem

Let  $d \geq 2$ . A set of

$$\frac{\begin{bmatrix}n\\k\end{bmatrix}_q}{\boldsymbol{v}_q^I(\mathcal{G}_q(k,n),d-1)} \le \begin{bmatrix}n-d+1\\\max(k,n-k)\end{bmatrix}_q$$

randomly chosen k-dimensional subspaces in  $\mathbb{F}_q^n$  contains a pair of elements which intersect in dimension at least k - d + 1, with probability going to 1 for growing q or n.

### Geometric Interpretation II

$$d_I(U,V) < d \iff \dim(U \cap V) > k - d$$



### 1 Introduction

- 2 Bounds on Densities in  $\mathbb{F}_{q^m}^n$
- (3) Asymptotic Densities in  $\mathbb{F}_{q^m}^n$
- 4 Densities of Optimal Codes in  $\mathbb{F}_{q^m}^n$
- **5** Subspace Codes in  $\mathcal{G}_q(k, n)$
- 6 Summary and Conclusions

• General (asymptotic) bounds on densities in  $(\mathbb{F}_{q^m}^n, D)$  and  $(\mathcal{G}_q(k, n), D), D$  translation-invariant.

- General (asymptotic) bounds on densities in  $(\mathbb{F}_{q^m}^n, D)$  and  $(\mathcal{G}_q(k, n), D), D$  translation-invariant.
- Density/sparsity depends on relation of volume of balls to code cardinality.

- General (asymptotic) bounds on densities in  $(\mathbb{F}_{q^m}^n, D)$  and  $(\mathcal{G}_q(k, n), D), D$  translation-invariant.
- Density/sparsity depends on relation of volume of balls to code cardinality.
- All non-linear codes we considered are sparse (GV- or Singleton-achieving).

- General (asymptotic) bounds on densities in  $(\mathbb{F}_{q^m}^n, D)$  and  $(\mathcal{G}_q(k, n), D), D$  translation-invariant.
- Density/sparsity depends on relation of volume of balls to code cardinality.
- All non-linear codes we considered are sparse (GV- or Singleton-achieving).
- $\mathbb{F}_{q^{\ell}}$ -linear codes always achieve GV-bound (with probability 1) for growing q or  $\ell = m/s$ .

- General (asymptotic) bounds on densities in  $(\mathbb{F}_{q^m}^n, D)$  and  $(\mathcal{G}_q(k, n), D), D$  translation-invariant.
- Density/sparsity depends on relation of volume of balls to code cardinality.
- All non-linear codes we considered are sparse (GV- or Singleton-achieving).
- $\mathbb{F}_{q^{\ell}}$ -linear codes always achieve GV-bound (with probability 1) for growing q or  $\ell = m/s$ .
- For Singleton-type bound it depends on the metric and the linearity degree.

- General (asymptotic) bounds on densities in  $(\mathbb{F}_{q^m}^n, D)$  and  $(\mathcal{G}_q(k, n), D), D$  translation-invariant.
- Density/sparsity depends on relation of volume of balls to code cardinality.
- All non-linear codes we considered are sparse (GV- or Singleton-achieving).
- $\mathbb{F}_{q^{\ell}}$ -linear codes always achieve GV-bound (with probability 1) for growing q or  $\ell = m/s$ .
- For Singleton-type bound it depends on the metric and the linearity degree.

Thank you for your attention! Questions? – Comments?

