SQL: Queries, Constraints, Triggers

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Slides are based on

- *Raghu Ramakrishnan, Johannes Gehrke, Database Management Systems, McGraw-Hill, 3rd ed., 2007.*
- *Slides from „Cow Book": R.Ramakrishnan, http://pages.cs.wisc.edu/~dbbook/*

Example Instances

- We will use these instances of the Sailors and Reserves relations in our examples.
- If the key for the Reserves relation contained only the attributes *sid* and *bid*, how would the semantics differ?

R1

sid	bid	day
22	101	10/10/96
58	103	11/12/96

S1

sid	sname	rating	age
22	dustin	7	45.0
31	lubber	8	55.5
58	rusty	10	35.0

S2

sid	sname	rating	age
28	yuppy	9	35.0
31	lubber	8	55.5
44	guppy	5	35.0
58	rusty	10	35.0

Basic SQL Query

```
SELECT    [DISTINCT]  target-list
FROM      relation-list
WHERE     qualification
```

- *relation-list* A list of relation names (possibly with a *range-variable* after each name).
- *target-list* A list of attributes of relations in *relation-list*
- *qualification* Comparisons (Attr *op* const or Attr1 *op* Attr2, where *op* is one of $<, >, =, \leq, \geq, \neq$) combined using AND, OR and NOT.
- DISTINCT is an optional keyword indicating that the answer should not contain duplicates. Default is that duplicates are *not* eliminated!

Conceptual Evaluation Strategy

- Semantics of an SQL query defined in terms of the following conceptual evaluation strategy:
  - Compute the cross-product of *relation-list*.
  - Discard resulting tuples if they fail *qualifications*.
  - Delete attributes that are not in *target-list*.
  - If DISTINCT is specified, eliminate duplicate rows.
- This strategy is probably the least efficient way to compute a query! An optimizer will find more efficient strategies to compute *the same answers*.

Example of Conceptual Evaluation

```
SELECT  S.sname
FROM    Sailors S, Reserves R
WHERE   S.sid=R.sid AND R.bid=103
```

(sid)	sname	rating	age	(sid)	bid	day
22	dustin	7	45.0	22	101	10/ 10/ 96
22	dustin	7	45.0	58	103	11/ 12/ 96
31	lubber	8	55.5	22	101	10/ 10/ 96
31	lubber	8	55.5	58	103	11/ 12/ 96
58	rusty	10	35.0	22	101	10/ 10/ 96
58	rusty	10	35.0	58	103	11/ 12/ 96

A Note on Range Variables

- Really needed only if the same relation appears twice in the FROM clause. The previous query can also be written as:

```
SELECT  S.sname
FROM    Sailors S, Reserves R
WHERE   S.sid=R.sid AND bid=103
```

OR

```
SELECT  sname
FROM    Sailors, Reserves
WHERE   Sailors.sid=Reserves.sid
        AND bid=103
```

It is good style, however, to use range variables always!

Find sailors who've reserved at least one boat

```
SELECT  S.sid
FROM  Sailors S, Reserves R
WHERE  S.sid=R.sid
```

- Would adding DISTINCT to this query make a difference?
- What is the effect of replacing *S.sid* by *S.sname* in the SELECT clause? Would adding DISTINCT to this variant of the query make a difference?

Expressions and Strings

```
SELECT  S.age, age1=S.age-5, 2*S.age AS age2
FROM  Sailors S
WHERE  S.sname LIKE 'B_%B'
```

- Illustrates use of arithmetic expressions and string pattern matching: *Find triples (of ages of sailors and two fields defined by expressions) for sailors whose names begin and end with B and contain at least three characters.*
- AS and = are two ways to name fields in result.
- LIKE is used for string matching. `_' stands for any one character and `%' stands for 0 or more arbitrary characters.

Find sid's of sailors who've reserved a red or a green boat

- UNION: Can be used to compute the union of any two *union-compatible* sets of tuples (which are themselves the result of SQL queries).
- If we replace OR by AND in the first version, what do we get?
- Also available: EXCEPT (What do we get if we replace UNION by EXCEPT?)

```
SELECT S.sid
FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid AND R.bid=B.bid
  AND (B.color='red' OR B.color='green')
```

```
SELECT S.sid
FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid AND R.bid=B.bid
        AND B.color='red'
UNION
SELECT S.sid
FROM Sailors S, Boats B, Reserves R
WHERE S.sid=R.sid
    AND R.bid=B.bid
    AND B.color='green'
```

Find sid's of sailors who've reserved a red and a green boat

- INTERSECT: Can be used to compute the intersection of any two *union-compatible* sets of tuples.
- Included in the SQL/92 standard, but some systems don't support it.
- Contrast symmetry of the UNION and INTERSECT queries with how much the other versions differ.

```
SELECT  S.sid
FROM  Sailors S, Boats B1, Reserves R1,
      Boats B2, Reserves R2
WHERE  S.sid=R1.sid AND R1.bid=B1.bid
  AND  S.sid=R2.sid AND R2.bid=B2.bid
  AND (B1.color='red' AND B2.color='green')
```

Key field!

```
SELECT  S.sid
FROM  Sailors S, Boats B, Reserves R
WHERE  S.sid=R.sid AND R.bid=B.bid
       AND B.color='red'
INTERSECT
SELECT  S.sid
FROM  Sailors S, Boats B, Reserves R
WHERE  S.sid=R.sid AND R.bid=B.bid
       AND B.color='green'
```

Nested Queries

Find names of sailors who've reserved boat #103:

```
SELECT S.sname
FROM Sailors S
WHERE S.sid IN (SELECT R.sid
                FROM Reserves R
                WHERE R.bid=103)
```

- A very powerful feature of SQL: a WHERE clause can itself contain an SQL query! (Actually, so can FROM and HAVING clauses.)
- To find sailors who've *not* reserved #103, use NOT IN.
- To understand semantics of nested queries, think of a <u>*nested loops*</u> evaluation: *For each Sailors tuple, check the qualification by computing the subquery.*

Nested Queries with Correlation

Find names of sailors who've reserved boat #103:

```
SELECT  S.sname
FROM  Sailors S
WHERE  EXISTS  (SELECT  *
                FROM  Reserves R
                WHERE  R.bid=103 AND S.sid=R.sid)
```

- EXISTS is another set comparison operator, like IN.
- If UNIQUE is used, and * is replaced by *R.bid*, finds sailors with at most one reservation for boat #103. (UNIQUE checks for duplicate tuples; * denotes all attributes. Why do we have to replace * by *R.bid*?)
- Illustrates why, in general, subquery must be re-computed for each Sailors tuple.

More on Set-Comparison Operators

- We've already seen IN, EXISTS and UNIQUE. Can also use NOT IN, NOT EXISTS and NOT UNIQUE.
- Also available: *op* ANY and *op* ALL (op ∈ {>,<,≤,≥,=,≠})
- Find sailors whose rating is greater than that of some sailor called Horatio:

```
SELECT *
FROM Sailors S
WHERE S.rating > ANY (SELECT S2.rating
                      FROM Sailors S2
                      WHERE S2.sname='Horatio')
```

Rewriting INTERSECT Queries Using IN

Find sid's of sailors who've reserved both a red and a green boat:

```
SELECT  S.sid
FROM  Sailors S, Boats B, Reserves R
WHERE  S.sid=R.sid AND R.bid=B.bid AND B.color='red'
        AND S.sid IN  (SELECT  S2.sid
                       FROM  Sailors S2, Boats B2, Reserves R2
                       WHERE  S2.sid=R2.sid AND R2.bid=B2.bid
                              AND  B2.color='green')
```

- Similarly, EXCEPT queries re-written using NOT IN.
- To find *names* (not *sid*'s) of Sailors who've reserved both red and green boats, just replace *S.sid* by *S.sname* in SELECT clause. (What about INTERSECT query?)

Division in SQL

Find sailors who've reserved all boats.

- Let's do it the hard way, without EXCEPT:

(1)

```
SELECT  S.sname
FROM  Sailors S
WHERE  NOT EXISTS
          ((SELECT  B.bid
             FROM  Boats B)
            EXCEPT
             (SELECT  R.bid
              FROM  Reserves R
              WHERE  R.sid=S.sid))
```

(2)

```
SELECT  S.sname
FROM  Sailors S
WHERE  NOT EXISTS  (SELECT  B.bid
                    FROM  Boats B
                    WHERE  NOT EXISTS  (SELECT  R.bid
                                        FROM  Reserves R
                                        WHERE  R.bid=B.bid
                                          AND R.sid=S.sid))
```

Sailors S such that ...

there is no boat B without ...

a Reserves tuple showing S reserved B

Aggregate Operators

- Significant extension of relational algebra.

COUNT (*)
COUNT ([DISTINCT] A)
SUM ([DISTINCT] A)
AVG ([DISTINCT] A)
MAX (A)
MIN (A)

single column

```
SELECT  COUNT (*)
FROM  Sailors S
```

```
SELECT  AVG (S.age)
FROM  Sailors S
WHERE  S.rating=10
```

```
SELECT  S.sname
FROM  Sailors S
WHERE  S.rating= (SELECT  MAX(S2.rating)
                  FROM  Sailors S2)
```

```
SELECT  COUNT (DISTINCT S.rating)
FROM  Sailors S
WHERE S.sname='Bob'
```

```
SELECT  AVG ( DISTINCT S.age)
FROM  Sailors S
WHERE  S.rating=10
```

Find name and age of the oldest sailor(s)

- The first query is illegal! (We'll look into the reason a bit later, when we discuss GROUP BY.)
- The third query is equivalent to the second query, and is allowed in the SQL/92 standard, but is not supported in some systems.

```
SELECT  S.sname, MAX (S.age)
FROM  Sailors S
```

```
SELECT  S.sname, S.age
FROM  Sailors S
WHERE  S.age =
         (SELECT  MAX (S2.age)
          FROM  Sailors S2)
```

```
SELECT  S.sname, S.age
FROM  Sailors S
WHERE  (SELECT  MAX (S2.age)
          FROM  Sailors S2)
          = S.age
```

Motivation for Grouping

- So far, we've applied aggregate operators to all (qualifying) tuples. Sometimes, we want to apply them to each of several *groups* of tuples.
- Consider: *Find the age of the youngest sailor for each rating level.*
  - In general, we don't know how many rating levels exist, and what the rating values for these levels are!
  - Suppose we know that rating values go from 1 to 10; we can write 10 queries that look like this (!):

For *i* = 1, 2, ... , 10:

```
SELECT  MIN (S.age)
FROM  Sailors S
WHERE  S.rating = i
```

Queries With GROUP BY and HAVING

```
SELECT      [DISTINCT]  target-list
FROM        relation-list
WHERE       qualification
GROUP BY    grouping-list
HAVING      group-qualification
```

- The *target-list* contains (i) attribute names (ii) terms with aggregate operations (e.g., MIN (*S.age*)).
  - The attribute list (i) must be a subset of *grouping-list*. Intuitively, each answer tuple corresponds to a *group*, and these attributes must have a single value per group. (A *group* is a set of tuples that have the same value for all attributes in *grouping-list*.)

Conceptual Evaluation

- The cross-product of *relation-list* is computed, tuples that fail *qualification* are discarded, `*unnecessary*' fields are deleted, and the remaining tuples are partitioned into groups by the value of attributes in *grouping-list*.
- The *group-qualification* is then applied to eliminate some groups. Expressions in *group-qualification* must have a *single value per group*!
  - In effect, an attribute in *group-qualification* that is not an argument of an aggregate op also appears in *grouping-list*. (SQL does not exploit primary key semantics here!)
- One answer tuple is generated per qualifying group.

Find the number of reservations for each red boat.

```
SELECT  B.bid,  COUNT (*) AS scount
FROM  Sailors S, Boats B, Reserves R
WHERE  S.sid=R.sid AND R.bid=B.bid AND B.color=‘red’
GROUP BY  B.bid
```

- Grouping over a join of three relations.
- What do we get if we remove *B.color=‘red’* from the WHERE clause and add a HAVING clause with this condition?
- What if we drop Sailors and the condition involving S.sid?

Find age of the youngest sailor with age $\geq$ 18, for each rating with at least 2 such sailors

```
SELECT  S.rating,  MIN (S.age)
                   AS minage
FROM  Sailors S
WHERE  S.age >= 18
GROUP BY  S.rating
HAVING  COUNT (*) > 1
```

Sailors instance:

sid	sname	rating	age
22	dustin	7	45.0
29	brutus	1	33.0
31	lubber	8	55.5
32	andy	8	25.5
58	rusty	10	35.0
64	horatio	7	35.0
71	zorba	10	16.0
74	horatio	9	35.0
85	art	3	25.5
95	bob	3	63.5
96	frodo	3	25.5

Answer relation:

rating	minage
3	25.5
7	35.0
8	25.5

Find age of the youngest sailor with age ≥18, for each rating with at least 2 such sailors.

rating	age
7	45.0
1	33.0
8	55.5
8	25.5
10	35.0
7	35.0
10	16.0
9	35.0
3	25.5
3	63.5
3	25.5

rating	age
1	33.0
3	25.5
3	63.5
3	25.5
7	45.0
7	35.0
8	55.5
8	25.5
9	35.0
10	35.0

rating	minage
3	25.5
7	35.0
8	25.5

Find age of the youngest sailor with age ≥ 18, for each rating with at least 2 such sailors and with every sailor under 60.

HAVING COUNT (*) > 1 AND EVERY (S.age <=60)

rating	age
7	45.0
1	33.0
8	55.5
8	25.5
10	35.0
7	35.0
10	16.0
9	35.0
3	25.5
3	63.5
3	25.5

→

rating	age
1	33.0
3	25.5
3	63.5
3	25.5
7	45.0
7	35.0
8	55.5
8	25.5
9	35.0
10	35.0

→

rating	minage
7	35.0
8	25.5

What is the result of changing EVERY to ANY?

Find age of the youngest sailor with age $\geq$18, for each rating with at least 2 sailors between 18 and 60.

```
SELECT  S.rating,  MIN (S.age)
                        AS minage
FROM  Sailors S
WHERE  S.age >= 18 AND S.age <= 60
GROUP BY  S.rating
HAVING  COUNT (*) > 1
```

Sailors instance:

sid	sname	rating	age
22	dustin	7	45.0
29	brutus	1	33.0
31	lubber	8	55.5
32	andy	8	25.5
58	rusty	10	35.0
64	horatio	7	35.0
71	zorba	10	16.0
74	horatio	9	35.0
85	art	3	25.5
95	bob	3	63.5
96	frodo	3	25.5

Answer relation:

rating	minage
3	25.5
7	35.0
8	25.5

Find age of the youngest sailor with age > 18, for each rating with at least 2 sailors (of any age)

```
SELECT  S.rating,  MIN (S.age)
FROM  Sailors S
WHERE  S.age > 18
GROUP BY  S.rating
HAVING  1  <  (SELECT  COUNT (*)
                FROM  Sailors S2
                WHERE  S.rating=S2.rating)
```

- Shows HAVING clause can also contain a subquery.
- Compare this with the query where we considered only ratings with 2 sailors over 18!
- What if HAVING clause is replaced by HAVING COUNT(*) >1?

Find those ratings for which the average age is the minimum over all ratings

- Aggregate operations cannot be nested! WRONG:

```
SELECT S.rating
FROM Sailors S
WHERE S.age = (SELECT MIN (AVG (S2.age)) FROM Sailors S2)
```

❖ Correct solution (in SQL/92):

```
SELECT Temp.rating, Temp.average
FROM (SELECT S.rating, AVG (S.age) AS average
      FROM Sailors S
      GROUP BY S.rating) AS Temp
WHERE Temp.average = (SELECT MIN (Temp.average)
                      FROM Temp)
```

Null Values

- Field values in a tuple are sometimes *unknown* (e.g., a rating has not been assigned) or *inapplicable* (e.g., no spouse's name).
  - SQL provides a special value *null* for such situations.
- The presence of *null* complicates many issues. E.g.:
  - Special operators needed to check if value is/is not *null*.
  - Is *rating>8* true or false when *rating* is equal to *null*? What about AND, OR and NOT connectives?
  - We need a 3-valued logic (true, false and *unknown*).
  - Meaning of constructs must be defined carefully. (e.g., WHERE clause eliminates rows that don't evaluate to true.)
  - New operators (in particular, *outer joins*) possible/needed.

Integrity Constraints (Review)

- An IC describes conditions that every *legal instance* of a relation must satisfy.
  - Inserts/deletes/updates that violate IC's are disallowed.
  - Can be used to ensure application semantics (e.g., *sid* is a key), or prevent inconsistencies (e.g., *sname* has to be a string, *age* must be < 200)
- *Types of IC's*: Domain constraints, primary key constraints, foreign key constraints, general constraints.
  - *Domain constraints*: Field values must be of right type. Always enforced.

General Constraints

- Useful when more general ICs than keys are involved.
- Can use queries to express constraint.
- Constraints can be named.

```
CREATE TABLE Sailors
    ( sid INTEGER,
    sname CHAR(10),
    rating INTEGER,
    age REAL,
    PRIMARY KEY (sid),
    CHECK ( rating >= 1
        AND rating <= 10 )
```

```
CREATE TABLE Reserves
    ( sname CHAR(10),
    bid INTEGER,
    day DATE,
    PRIMARY KEY (bid,day),
    CONSTRAINT noInterlakeRes
    CHECK (`Interlake' <>
        ( SELECT B.bname
        FROM Boats B
        WHERE B.bid=bid)))
```

Constraints Over Multiple Relations

- Awkward and wrong!
- If Sailors is empty, the number of Boats tuples can be anything!
- ASSERTION is the right solution; not associated with either table.

```
CREATE TABLE Sailors
    ( sid INTEGER,
    sname CHAR(10),
    rating INTEGER,
    age REAL,
    PRIMARY KEY (sid),
    CHECK
    ( (SELECT COUNT (S.sid) FROM Sailors S)
    + (SELECT COUNT (B.bid) FROM Boats B) < 100 )
```

Number of boats plus number of sailors is < 100

```
CREATE ASSERTION smallClub
CHECK
( (SELECT COUNT (S.sid) FROM Sailors S)
+ (SELECT COUNT (B.bid) FROM Boats B) < 100 )
```

Triggers

- Trigger: procedure that starts automatically if specified changes occur to the DBMS
- Three parts:
  - Event (activates the trigger)
  - Condition (tests whether the triggers should run)
  - Action (what happens if the trigger runs)

Triggers - syntax

```
<trigger definition> ::=
    CREATE TRIGGER <trigger name>
      <trigger action time> <trigger event>
      ON <table name> [ REFERENCING <old or new values alias list> ]
      <triggered action>

<trigger action time> ::=
    BEFORE
  | AFTER

<trigger event> ::=
    INSERT
  | DELETE
  | UPDATE [ OF <trigger column list> ]

<trigger column list> ::= <column name list>

<triggered action> ::=
    [ FOR EACH { ROW | STATEMENT } ]
      [ WHEN <left paren> <search condition> <right paren> ]
      <triggered SQL statement>
```

Triggers - syntax

```
<triggered SQL statement> ::=
    <SQL procedure statement>
  | BEGIN ATOMIC
      { <SQL procedure statement> <semicolon> }...
    END

<old or new values alias list> ::=
    <old or new values alias>...

<old or new values alias> ::=
    OLD [ROW ][AS ]<old values correlation name>
  | NEW [ROW ][AS ]<new values correlation name>
  | OLD TABLE [ AS ] <old values table alias>
  | NEW TABLE [ AS ] <new values table alias>
```

Triggers: Example (SQL3)

```
CREATE TRIGGER youngSailorUpdate
    AFTER INSERT ON SAILORS
REFERENCING NEW TABLE NewSailors
FOR EACH STATEMENT
    INSERT
        INTO YoungSailors(sid, name, age, rating)
        SELECT sid, name, age, rating
        FROM NewSailors N
        WHERE N.age <= 18
```

Summary

- SQL was an important factor in the early acceptance of the relational model; more natural than earlier, procedural query languages.
- Relationally complete; in fact, significantly more expressive power than relational algebra.
- Even queries that can be expressed in RA can often be expressed more naturally in SQL.
- Many alternative ways to write a query; optimizer should look for most efficient evaluation plan.
  - In practice, users need to be aware of how queries are optimized and evaluated for best results.

Summary (Contd.)

- NULL for unknown field values brings many complications
- SQL allows specification of rich integrity constraints
- Triggers respond to changes in the database