

Parameterized Complexity of Secluded Connectivity Problems

Petr A. Golovach¹

Fedor V. Fomin¹ Nikolay Karpov² Alexander S. Kulikov²

¹University of Bergen

²Steklov Institute of Mathematics at St.Petersburg

Algorithmic Graph Theory on the Adriatic Coast, Koper,
16-18.06.2015

Outline

- 1 Introduction
- 2 Parameterization by the solution size
- 3 Parameterization above the lower bound
- 4 Kernelization
- 5 Secluded Steiner Tree for graphs of bounded treewidth
- 6 Conclusions

Parameterized complexity

Parameterized complexity is a two dimensional framework for studying the computational complexity of a problem. One dimension is the input size n and another one is a parameter k .

Parameterized complexity

Parameterized complexity is a two dimensional framework for studying the computational complexity of a problem. One dimension is the input size n and another one is a parameter k .

A problem is **fixed parameter tractable** (or **FPT**), if it can be solved in time $f(k) \cdot n^{O(1)}$ for some function f , where n is the input size and k is a parameter.

Parameterized complexity

Parameterized complexity is a two dimensional framework for studying the computational complexity of a problem. One dimension is the input size n and another one is a parameter k .

A problem is **fixed parameter tractable** (or **FPT**), if it can be solved in time $f(k) \cdot n^{O(1)}$ for some function f , where n is the input size and k is a parameter.

The main hierarchy of parameterized complexity classes is

$$FPT \subseteq W[1] \subseteq W[2] \subseteq \dots \subseteq W[P] \subseteq XP.$$

Parameterized complexity

Parameterized complexity is a two dimensional framework for studying the computational complexity of a problem. One dimension is the input size n and another one is a parameter k .

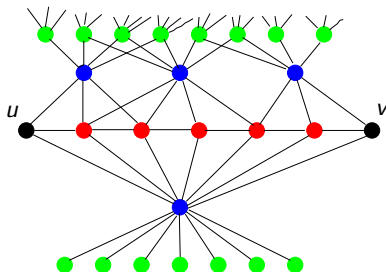
A problem is **fixed parameter tractable** (or **FPT**), if it can be solved in time $f(k) \cdot n^{O(1)}$ for some function f , where n is the input size and k is a parameter.

The main hierarchy of parameterized complexity classes is

$$FPT \subseteq W[1] \subseteq W[2] \subseteq \dots \subseteq W[P] \subseteq XP.$$

A $W[1]$ -hard problem cannot be solved in FPT-time unless $FPT=W[1]$.

Secluded paths and trees



Secluded paths and trees

SECLUDED STEINER TREE

Input: A graph G with a cost function $w: V(G) \rightarrow \mathbb{N}$, a set $S = \{s_1, \dots, s_p\} \subseteq V(G)$ of terminals, and non-negative integers k and C .

Question: Is there a connected subgraph T of G with $S \subseteq V(T)$ such that $|N_G[V(T)]| \leq k$ and $w(N_G[V(T)]) \leq C$?

Secluded paths and trees

SECLUDED STEINER TREE

Input: A graph G with a cost function $w: V(G) \rightarrow \mathbb{N}$, a set $S = \{s_1, \dots, s_p\} \subseteq V(G)$ of terminals, and non-negative integers k and C .

Question: Is there a connected subgraph T of G with $S \subseteq V(T)$ such that $|N_G[V(T)]| \leq k$ and $w(N_G[V(T)]) \leq C$?

If $p = 2$, then we obtain **SECLUDED PATH**.

Secluded paths and trees

SECLUDED STEINER TREE

Input: A graph G with a cost function $w: V(G) \rightarrow \mathbb{N}$, a set $S = \{s_1, \dots, s_p\} \subseteq V(G)$ of terminals, and non-negative integers k and C .

Question: Is there a connected subgraph T of G with $S \subseteq V(T)$ such that $|N_G[V(T)]| \leq k$ and $w(N_G[V(T)]) \leq C$?

If $p = 2$, then we obtain **SECLUDED PATH**.

If $w(v) = 1$ for $v \in V(G)$ and $C = k$, then we have an instance of **SECLUDED STEINER TREE** without costs.

Previous work

SECLUDED PATH and **SECLUDED STEINER TREE** were introduced by Chechik, Johnson, Parter and Peleg at ESA 2013.

Previous work

SECLUDED PATH and **SECLUDED STEINER TREE** were introduced by Chechik, Johnson, Parter and Peleg at ESA 2013.

- **SECLUDED PATH** without costs is NP-complete.

Previous work

SECLUDED PATH and **SECLUDED STEINER TREE** were introduced by Chechik, Johnson, Parter and Peleg at ESA 2013.

- **SECLUDED PATH** without costs is NP-complete.
- **SECLUDED PATH** without costs is solvable in time $\Delta^\Delta \cdot n^{O(1)}$, where Δ is the maximum vertex degree and thus is FPT being parameterized by Δ .

Previous work

SECLUDED PATH and **SECLUDED STEINER TREE** were introduced by Chechik, Johnson, Parter and Peleg at ESA 2013.

- **SECLUDED PATH** without costs is NP-complete.
- **SECLUDED PATH** without costs is solvable in time $\Delta^\Delta \cdot n^{O(1)}$, where Δ is the maximum vertex degree and thus is FPT being parameterized by Δ .
- **SECLUDED STEINER TREE** problem is solvable in time $2^{O(t \log t)} \cdot n^{O(1)} \cdot \log W$ for graphs of treewidth at most t , where W is the maximum value of w .

Our results

- We show that **SECLUDED STEINER TREE** is FPT when parameterized by k .

Our results

- We show that **SECLUDED STEINER TREE** is FPT when parameterized by k .
- We show that **SECLUDED STEINER TREE** is FPT being parameterized by $r + p$, where p is the number of the terminals, ℓ the size of an optimum Steiner tree, and $r = k - \ell$. We complement this result by showing that the problem is co-W[1]-hard when parameterized by r only.

Our results

- We show that **SECLUDED STEINER TREE** is FPT when parameterized by k .
- We show that **SECLUDED STEINER TREE** is FPT being parameterized by $r + p$, where p is the number of the terminals, ℓ the size of an optimum Steiner tree, and $r = k - \ell$. We complement this result by showing that the problem is co-W[1]-hard when parameterized by r only.
- We investigate **SECLUDED STEINER TREE** from kernelization perspective and provide several lower and upper bounds when parameters are the treewidth, the size of a vertex cover, maximum vertex degree and the solution size.

Our results

- We show that **SECLUDED STEINER TREE** is FPT when parameterized by k .
- We show that **SECLUDED STEINER TREE** is FPT being parameterized by $r + p$, where p is the number of the terminals, ℓ the size of an optimum Steiner tree, and $r = k - \ell$. We complement this result by showing that the problem is co-W[1]-hard when parameterized by r only.
- We investigate **SECLUDED STEINER TREE** from kernelization perspective and provide several lower and upper bounds when parameters are the treewidth, the size of a vertex cover, maximum vertex degree and the solution size.
- We refine the algorithmic result of Chechik et al. for **SECLUDED STEINER TREE** on graphs of bounded treewidth by improving the exponential dependence from the treewidth of the input graph.

Parameterization by the solution size

Theorem

SECLUDED PATH is solvable in time $O(3^{k/3} \cdot n \log W)$ and **SECLUDED STEINER TREE** can be solved in time $O(2^k \cdot (n + m)k^2 \log W)$, where W is the maximum value of w on the input graph G .

Parameterization by the solution size

Theorem

SECLUDED PATH is solvable in time $O(3^{k/3} \cdot n \log W)$ and **SECLUDED STEINER TREE** can be solved in time $O(2^k \cdot (n + m)k^2 \log W)$, where W is the maximum value of w on the input graph G .

Corollary

SECLUDED PATH is solvable in time $O(1.3896^n \cdot \log W)$, and **SECLUDED STEINER TREE** is solvable in time $O(1.7088^n \cdot \log W)$, where W is the maximum value of w on the input graph G .

Sketch of the proof

We use the **color coding/random separation** techniques introduced by Alon, Yuster and Zwick (1995) and Cai, Chan and Chan (2006).

Sketch of the proof

We use the **color coding/random separation** techniques introduced by Alon, Yuster and Zwick (1995) and Cai, Chan and Chan (2006).

We color vertices of the input graph G independently and uniformly at random by two colors **red** and **blue**.

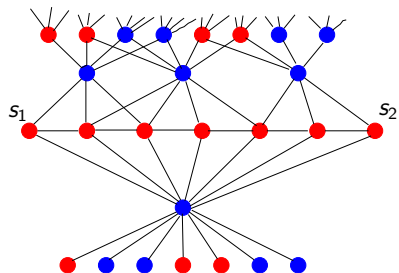
Sketch of the proof

We use the **color coding/random separation** techniques introduced by Alon, Yuster and Zwick (1995) and Cai, Chan and Chan (2006).

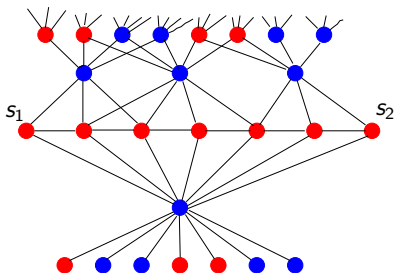
We color vertices of the input graph G independently and uniformly at random by two colors **red** and **blue**.

We say that a solution for the considered instance, i.e., a connected subgraph T of G with $S \subseteq V(T)$ such that $|N_G[V(T)]| \leq k$ and $w(N_G[V(T)]) \leq C$, is colored **correctly** if the vertices of T are **red** and the vertices of $N_G(V(T))$ are **blue**.

Sketch of the proof



Sketch of the proof



If there is a correctly colored solution T , then it can be found in a straightforward way: T is the component of the subgraph of G induced by the red vertices that contains all the terminals.

Sketch of the proof

If there is a solution T , then the probability that it is colored correctly is at least $\frac{1}{2^k}$.

Sketch of the proof

If there is a solution T , then the probability that it is colored correctly is at least $\frac{1}{2^k}$.

The probability that the solution is colored incorrectly is at most $1 - \frac{1}{2^k}$.

Sketch of the proof

If there is a solution T , then the probability that it is colored correctly is at least $\frac{1}{2^k}$.

The probability that the solution is colored incorrectly is at most $1 - \frac{1}{2^k}$.

The probability that for 2^k random colorings, the solution is colored incorrectly for all of them, is at most $(1 - \frac{1}{2^k})^{2^k} \leq \frac{1}{e}$.

Parameterization above the lower bound

Let (G, w, S, k, C) be an instance of **SECLUDED STEINER TREE**.

Parameterization above the lower bound

Let (G, w, S, k, C) be an instance of **SECLUDED STEINER TREE**.

Suppose that F is a connected induced subgraph of G of minimum size such that $S \subseteq V(F)$, i.e., F is a minimum vertex Steiner tree. Then $\ell = |V(F)| \leq |V(T)| \leq |N_G[V(T)]|$ for any solution T for the considered instance.

Parameterization above the lower bound

Let (G, w, S, k, C) be an instance of **SECLUDED STEINER TREE**.

Suppose that F is a connected induced subgraph of G of minimum size such that $S \subseteq V(F)$, i.e., F is a minimum vertex Steiner tree. Then $\ell = |V(F)| \leq |V(T)| \leq |N_G[V(T)]|$ for any solution T for the considered instance.

If $k < \ell$, the answer is “No”.

Parameterization above the lower bound

Let (G, w, S, k, C) be an instance of **SECLUDED STEINER TREE**.

Suppose that F is a connected induced subgraph of G of minimum size such that $S \subseteq V(F)$, i.e., F is a minimum vertex Steiner tree. Then $\ell = |V(F)| \leq |V(T)| \leq |N_G[V(T)]|$ for any solution T for the considered instance.

If $k < \ell$, the answer is “No”.

We ask whether there is a solution for $k = \ell + r$, where r is the parameter.

Parameterization above the lower bound

STEINER TREE is NP-complete (Karp, 1972).

Parameterization above the lower bound

STEINER TREE is NP-complete (Karp, 1972).

Dreyfus and Wagner (1971) proved that the problem can be solved in time $O^*(3^p)$, i.e., it is FPT when parameterized by the number of terminals.

Parameterization above the lower bound

STEINER TREE is NP-complete (Karp, 1972).

Dreyfus and Wagner (1971) proved that the problem can be solved in time $O^*(3^p)$, i.e., it is FPT when parameterized by the number of terminals.

The currently best FPT-algorithms for **STEINER TREE** running in time $O^*(2^p)$ are given by Björklund et al. (2007) and Nederlof (2013) (the first algorithm demands exponential in p space and the latter uses polynomial space).

Parameterization above the lower bound

Theorem

SECLUDED STEINER TREE can be solved in time $2^{O(p+r)} \cdot nm \cdot \log W$ by a true-biased Monte-Carlo algorithm and in time $2^{O(p+r)} \cdot nm \log n \cdot \log W$ by a deterministic algorithm, where $r = k - \ell$ and ℓ is the size of a Steiner tree for S and W is the maximum value of w on the input graph G .

Auxiliary lemmas

Lemma

Let G be a connected graph and $S \subseteq V(G)$, $p = |S|$. Let F be an inclusion minimal induced subgraph of G such that $S \subseteq V(F)$ and $X = \{v \in V(F) \mid d_F(v) \geq 3\} \cup S$. Then

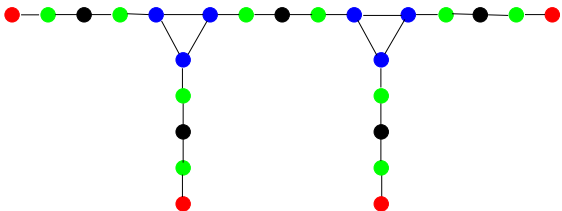
- i) $|X| \leq 4p - 6$, and
- ii) $|N_F(X)| \leq 4p - 6$.

Auxiliary lemmas

Lemma

Let G be a connected graph and $S \subseteq V(G)$, $p = |S|$. Let F be an inclusion minimal induced subgraph of G such that $S \subseteq V(F)$ and $X = \{v \in V(F) \mid d_F(v) \geq 3\} \cup S$. Then

- i) $|X| \leq 4p - 6$, and
- ii) $|N_F(X)| \leq 4p - 6$.



Auxiliary lemmas

Lemma

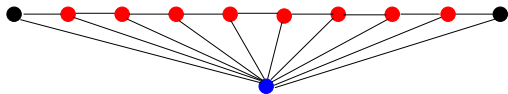
Let G be a connected graph and $S \subseteq V(G)$, $p = |S|$. Let ℓ be the size of a Steiner tree for S and r be a positive integer. Suppose that T is an inclusion minimal subgraph of G such that T is a tree spanning S and $|N_G[V(T)]| \leq \ell + r$. Then for $Y = N_G(V(T))$, $|N_G(Y) \cap V(T)| \leq 4p + 2r - 5$.

Auxiliary lemmas

Lemma

Let G be a connected graph and $S \subseteq V(G)$, $p = |S|$. Let ℓ be the size of a Steiner tree for S and r be a positive integer. Suppose that T is an inclusion minimal subgraph of G such that T is a tree spanning S and $|N_G[V(T)]| \leq \ell + r$. Then for $Y = N_G(V(T))$, $|N_G(Y) \cap V(T)| \leq 4p + 2r - 5$.

$$k = 11 > r - 3$$

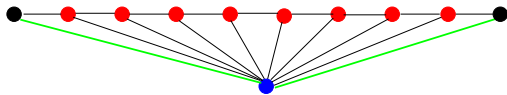


Auxiliary lemmas

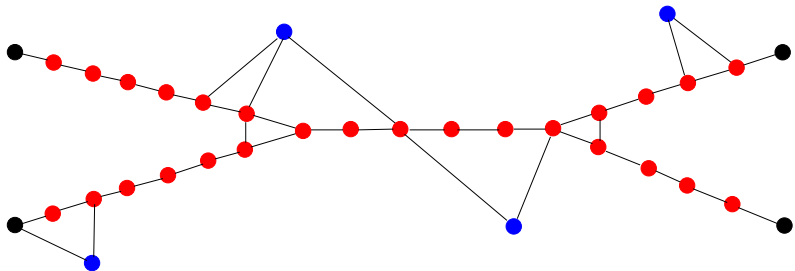
Lemma

Let G be a connected graph and $S \subseteq V(G)$, $p = |S|$. Let ℓ be the size of a Steiner tree for S and r be a positive integer. Suppose that T is an inclusion minimal subgraph of G such that T is a tree spanning S and $|N_G[V(T)]| \leq \ell + r$. Then for $Y = N_G(V(T))$, $|N_G(Y) \cap V(T)| \leq 4p + 2r - 5$.

$$k = 11 > r - 3$$



Sketch of the proof



Sketch of the proof

We color vertices of the input graph G independently and uniformly at random by two colors **red** and **blue**.

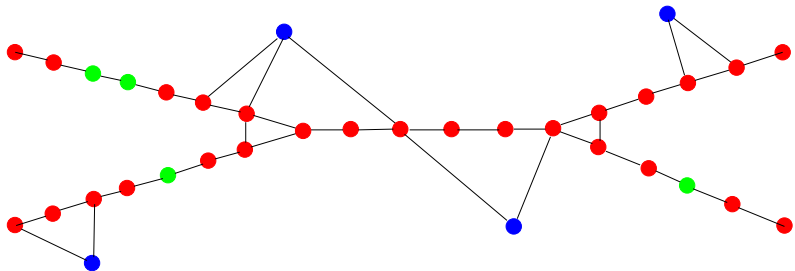
Sketch of the proof

We color vertices of the input graph G independently and uniformly at random by two colors **red** and **blue**.

We say that a solution for the considered instance, i.e., a connected subgraph T of G with $S \subseteq V(T)$ such that $|N_G[V(T)]| \leq k$ and $w(N_G[V(T)]) \leq C$, is colored **correctly**, if for $F = G[V(T)]$ the following holds:

- the vertices of $Y = N_G(V(T))$ are **blue**,
- the vertices of $X = \{v \in V(T) \mid d_F(v) \geq 3\} \cup S$ are **red**,
- the vertices of $N_T(X)$ are **red**,
- the vertices of $Z = N_G(Y) \cap V(T)$ are **red**,
- for any $z \in Z \setminus S$, at least two neighbors of z in T are **red**.

Sketch of the proof



Parameterization above the lower bound

Theorem

SECLUDED STEINER TREE can be solved in time $2^{O(p+r)} \cdot nm \cdot \log W$ by a true-biased Monte-Carlo algorithm and in time $2^{O(p+r)} \cdot nm \log n \cdot \log W$ by a deterministic algorithm, where $r = k - \ell$ and ℓ is the size of a Steiner tree for S and W is the maximum value of w on the input graph G .

Parameterization above the lower bound

Theorem

SECLUDED STEINER TREE can be solved in time $2^{O(p+r)} \cdot nm \cdot \log W$ by a true-biased Monte-Carlo algorithm and in time $2^{O(p+r)} \cdot nm \log n \cdot \log W$ by a deterministic algorithm, where $r = k - \ell$ and ℓ is the size of a Steiner tree for S and W is the maximum value of w on the input graph G .

Theorem

SECLUDED STEINER TREE without costs is $\text{co-}W[1]$ -hard when parameterized by r , where $r = k - \ell$ and ℓ is the size of a Steiner tree for S .

Kernelization

A **kernelization** for a parameterized problem is a polynomial algorithm that maps each instance (x, k) with the input x and the parameter k to an instance (x', k') such that

- i) (x, k) is a YES-instance if and only if (x', k') is a YES-instance of the problem,
- ii) the size of x' is bounded by $f(k)$ for a computable function f , and
- iii) k' is bounded by some $g(k)$.

The output (x', k') is called a **kernel**. The function f is said to be a **size** of a kernel. Respectively, a kernel is **polynomial** if f is polynomial.

Kernelization

A parameterized problem is FPT if and only if it has a kernel.

Kernelization

A parameterized problem is FPT if and only if it has a kernel.

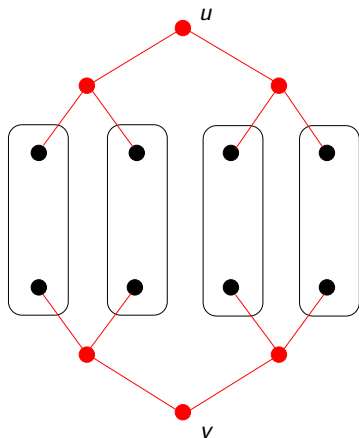
It is widely believed that not all FPT problems have polynomial kernels. In particular, Bodlaender et al. (2009) and Bodlaender, Jansen and Kratsch (2014) introduced techniques that allow to show that a parameterized problem has no polynomial kernel unless $\text{NP} \subseteq \text{co-NP}/\text{poly}$.

Kernelization lower bounds

Theorem

SECLUDED PATH without costs on graphs of treewidth at most t and maximum degree at most Δ admits no polynomial kernel unless $\text{NP} \subseteq \text{co-NP}/\text{poly}$ when parameterized by $k + t + \Delta$ or $(k - \ell) + t + \Delta$, where ℓ is the length of the shortest path between terminals.

Idea of the proof



Kernelization for graphs with bounded vertex cover number

A set of vertices U is a **vertex cover** of a graph G if for any $uv \in E(G)$, $u \in U$ or $v \in U$. The **vertex cover number** is the size of a minimum vertex cover.

Kernelization for graphs with bounded vertex cover number

A set of vertices U is a **vertex cover** of a graph G if for any $uv \in E(G)$, $u \in U$ or $v \in U$. The **vertex cover number** is the size of a minimum vertex cover.

Theorem

SECLUDED STEINER TREE has a kernel with at most $2t(k + 1)$ vertices on graphs with the vertex cover number at most t when parameterized by $t + k$.

Kernelization for graphs with bounded vertex cover number

A set of vertices U is a **vertex cover** of a graph G if for any $uv \in E(G)$, $u \in U$ or $v \in U$. The **vertex cover number** is the size of a minimum vertex cover.

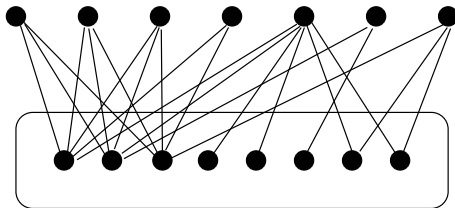
Theorem

SECLUDED STEINER TREE has a kernel with at most $2t(k + 1)$ vertices on graphs with the vertex cover number at most t when parameterized by $t + k$.

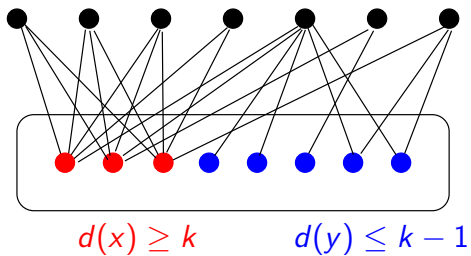
Theorem

SECLUDED PATH without costs on graphs with the vertex cover number at most t admits no polynomial kernel unless $\text{NP} \subseteq \text{co-NP}/\text{poly}$ when parameterized by t .

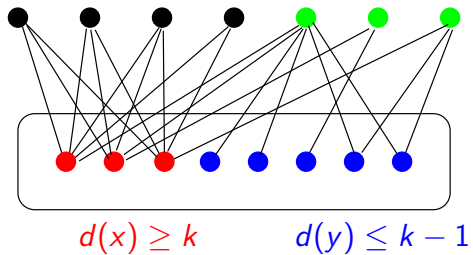
Sketch of the proof



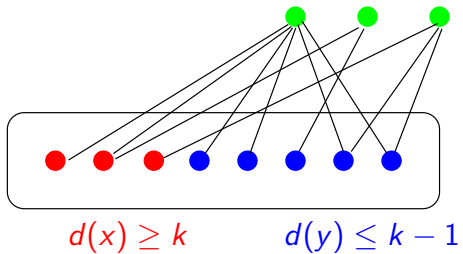
Sketch of the proof



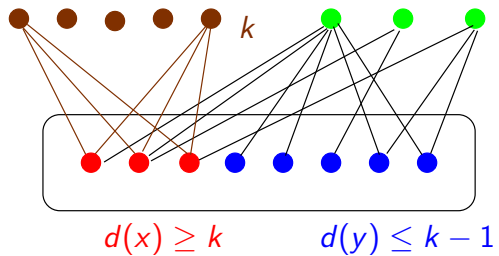
Sketch of the proof



Sketch of the proof



Sketch of the proof



Secluded Steiner Tree for graphs of bounded treewidth

Recall that Chechik et al. proved that if the treewidth of the input graph does not exceed t , then **SECLUDED STEINER TREE** is solvable in time $2^{O(t \log t)} \cdot n^{O(1)} \cdot \log W$, where W is the maximum value of w on the input graph G .

Secluded Steiner Tree for graphs of bounded treewidth

Recall that Chechik et al. proved that if the treewidth of the input graph does not exceed t , then **SECLUDED STEINER TREE** is solvable in time $2^{O(t \log t)} \cdot n^{O(1)} \cdot \log W$, where W is the maximum value of w on the input graph G .

Theorem

*There is a true-biased Monte Carlo algorithm solving the **SECLUDED STEINER TREE** without costs in time $4^t \cdot n^{O(1)}$, given a tree decomposition of width at most t .*

Secluded Steiner Tree for graphs of bounded treewidth

Recall that Chechik et al. proved that if the treewidth of the input graph does not exceed t , then **SECLUDED STEINER TREE** is solvable in time $2^{O(t \log t)} \cdot n^{O(1)} \cdot \log W$, where W is the maximum value of w on the input graph G .

Theorem

*There is a true-biased Monte Carlo algorithm solving the **SECLUDED STEINER TREE** without costs in time $4^t \cdot n^{O(1)}$, given a tree decomposition of width at most t .*

It is possible to solve **SECLUDED STEINER TREE** deterministically in time $O((2 + 2^{\omega+1})^t \cdot (n + \log W)^{O(1)})$ (here ω is the matrix multiplication constant).

Open problems

- **SECLUDED PATH** without costs is solvable in time $\Delta^\Delta \cdot n^{O(1)}$, where Δ is the maximum vertex degree and thus is FPT being parameterized by Δ by the results of Chechik et al. Is this result tight?

Open problems

- **SECLUDED PATH** without costs is solvable in time $\Delta^\Delta \cdot n^{O(1)}$, where Δ is the maximum vertex degree and thus is FPT being parameterized by Δ by the results of Chechik et al. Is this result tight?
- What can be said about **SECLUDED PATH/SECLUDED STEINER TREE** for planar graphs?

Thank You!